

FOUNDATION FOR INTELLIGENT PHYSICAL AGENTS

FIPA Interaction Protocol Library Specification

Document title	FIPA Interaction Protocol Library Specification		
Document number	DC00025F	Document source	FIPA TC C
Document status	Deprecated	Date of this status	2003/02/10
Supersedes	FIPA00003		
Contact	fab@fipa.org		
Change history	See <i>Informative Annex A — ChangeLog</i>		

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Geneva, Switzerland

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20 **Foreword**

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22 industry of intelligent agents by openly developing specifications supporting interoperability among agents and agent-
23 based applications. This occurs through open collaboration among its member organizations, which are companies and
24 universities that are active in the field of agents. FIPA makes the results of its activities available to all interested parties
25 and intends to contribute its results to the appropriate formal standards bodies.

26 The members of FIPA are individually and collectively committed to open competition in the development of agent-
27 based applications, services and equipment. Membership in FIPA is open to any corporation and individual firm,
28 partnership, governmental body or international organization without restriction. In particular, members are not bound to
29 implement or use specific agent-based standards, recommendations and FIPA specifications by virtue of their
30 participation in FIPA.

31 The FIPA specifications are developed through direct involvement of the FIPA membership. The status of a
32 specification can be either Preliminary, Experimental, Standard, Deprecated or Obsolete. More detail about the process
33 of specification may be found in the FIPA Procedures for Technical Work. A complete overview of the FIPA
34 specifications and their current status may be found in the FIPA List of Specifications. A list of terms and abbreviations
35 used in the FIPA specifications may be found in the FIPA Glossary.

36 FIPA is a non-profit association registered in Geneva, Switzerland. As of January 2000, the 56 members of FIPA
37 represented 17 countries worldwide. Further information about FIPA as an organization, membership information, FIPA
38 specifications and upcoming meetings may be found at <http://www.fipa.org/>.

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63 **1 Scope**

64 This document contains:

65

66 • Specifications for structuring the FIPA Interaction Protocol Library (IPL) including a status of a FIPA Interaction
67 Protocols (IPs), maintenance of the library and inclusion criteria for new IPs.

68

69 • A description of how to understand and express IPs using AUML (Agent Unified Modeling Language).

70

71 • The FIPA IP registry list.

72

73 This specification is primarily concerned with defining the structure of the FIPA IPL and the requirements for an IP to be
74 included in the library.

75

76 2 Overview

77 This specification focuses on the organization, structure and status of the FIPA IPL and discusses the main
78 requirements that an IP must satisfy in order to be FIPA-compliant. The objectives of standardising and defining a
79 library of FIPA compliant IPs are:

- 80
- 81 • To provide tested patterns of agent interaction that may be of use in various aspects of agent-based systems,
- 82
- 83 • To facilitate the reuse of standard agent IPs, and,
- 84
- 85 • To express IPs in a standard agent unified modelling language (AUML).
- 86

87 In the following, we present the basic principles of the FIPA IPL which help to guarantee that the IPL is stable, that there
88 are public rules for the inclusion and maintenance of the IPL, and that developers seeking a public IPs can use the IPL.
89

90 2.1 Interaction Protocols

91 Ongoing conversations between agents often fall into typical patterns. In such cases, certain message sequences are
92 expected, and, at any point in the conversation, other messages are expected to follow. These typical patterns of
93 message exchange are called *interaction protocols*. A designer of agent systems has the choice to make the agents
94 sufficiently aware of the meanings of the messages and the goals, beliefs and other mental attitudes the agent
95 possesses, and that the agent's planning process causes such IPs to arise spontaneously from the agents' choices.
96 This, however, places a heavy burden of capability and complexity on the agent implementation, though it is not an
97 uncommon choice in the agent community at large. An alternative, and very pragmatic, view is to pre-specify the IPs, so
98 that a simpler agent implementation can nevertheless engage in meaningful conversation with other agents, simply by
99 carefully following the known IP.

100

101 This section of the specification details a number of such IPs, in order to facilitate the effective inter-operation of simple
102 and complex agents. No claim is made that this is an exhaustive list of useful IPs, nor that they are necessary for any
103 given application. The IPs are given pre-defined names and the requirement for adhering to the specification is:

104

105 *A FIPA ACL-compliant agent need not implement any of the standard IPs, nor is it restricted from using other IP names.*
106 *However, if one of the standard IP names is used, the agent must behave consistently with the IP specification given*
107 *here.*

108

109 *These IPs are not intended to cover every desirable interaction type. Individual IPs do not address a number of*
110 *common real-world issues in agent interaction, such as exception handling, messages arriving out of sequence,*
111 *dropped messages, timeouts, cancellation, etc. Rather, the IPs defined in this specification set should be viewed as*
112 *interaction patterns, to be elaborated according to the context of the individual application. This strategy means that*
113 *adhering to the stated IPs does not necessarily ensure interoperability; further agreement between agents about the*
114 *issues above is required to ensure interoperability in all cases.*

115

116 Note that, by their nature, agents can engage in multiple dialogues, perhaps with different agents, simultaneously. The
117 term *conversation* is used to denote any particular instance of such a dialogue. Thus, the agent may be concurrently
118 engaged in multiple conversations, with different agents, within different IPs. The remarks in this section, which refer to
119 the receipt of messages under the control of a given IP, refer only to a particular conversation.
120

121 2.2 Status of a FIPA-Compliant Interaction Protocol

122 The definition of an IP belonging to the FIPA IPL is normative, that is, if a given agent advertises that it employs an IP in
123 the FIPA Content Language Library (see [FIPA00007]), then it must implement the IP as it is defined in the FIPA IPL.
124 However, FIPA-compliant agents are not required to implement any of the FIPA IPL IPs themselves, except those
125 required for Agent Management (see [FIPA00023]).
126

127 By collecting IP definitions in a single, publicly accessible registry, the FIPA IPL facilitates the use of standardized IPs
128 by agents developed in different contexts. It also provides a greater incentive to developers to make their IPs generally
129 applicable.
130

131 FIPA is responsible for maintaining a consistent list of IP names and for making them publicly available. In addition to
132 the list of encoding schemes, each IP in the FIPA IPL may specify additional information, such as stability information,
133 versioning, contact information, different support levels, etc.
134

135 **2.3 FIPA Interaction Protocol Library Maintenance**

136 The most effective way of maintaining the FIPA IPL is through the use of the IPs themselves by different agent
137 developers. This is the most direct way of discovering possible bugs, errors, inconsistencies, weaknesses, possible
138 improvements, as well as capabilities, strengths, efficiency, etc.
139

140 In order to collect feedback on the IPs in the library and to promote further research, FIPA encourages coordination
141 among designers, agent developers and FIPA members.
142

143 **2.4 Inclusion Criteria**

144 To populate the FIPA IPL, setting fundamental guidelines for the selection of specific IPs is necessary. The minimal
145 criteria that must be satisfied for an IP to be FIPA compliant are:
146

- 147 • A clear and accurate representation of the IP is provided using AUML protocol diagram,
- 148
- 149 • Substantial and clear documentation must be provided, and,
- 150
- 151 • The usefulness of a new IP should be made clear.
- 152

153 FIPA does not enforce the use of any particular IP.
154

155 3 AUML Sequence Diagrams for Interaction Protocol Specification

156 3.1 Introduction

157 During the 1970s, structured programming was the dominant approach to software development. Along with it, software
 158 engineering technologies were developed in order to ease and formalize the system development lifecycle: from
 159 planning, through analysis and design and finally to system construction, transition, and maintenance. In the 1980s,
 160 object-oriented languages experienced a rise in popularity, bringing with it new concepts such as data encapsulation,
 161 inheritance, messaging, and polymorphism. By the end of the 1980s and beginning of the 1990s, a jungle of modelling
 162 approaches grew to support the object-oriented marketplace. To make sense of and unify these various approaches, an
 163 Analysis and Design Task Force was established on 29 June 1995 within the Object Management Group (OMG). And
 164 by November 1997, a de jure standard was adopted by the OMG members called the Unified Modelling Language
 165 (UML - see [OMGuml]).

166
 167 UML unifies and formalizes the methods of many object-oriented approaches, including analysis and design [Booch94
 168 and Booch95], modelling [Rumbaugh91] and software engineering [Jacobson94]. It supports the following kinds of
 169 models:

- 171 • **Static models**

172 Such as class and package diagrams describe the static semantics of data and messages. Within system
 173 development, class diagrams are used in two different ways, for two different purposes. First, they can model a
 174 problem domain conceptually and since they are conceptual in nature, they can be presented to the customers.
 175 Second, class diagrams can model the implementation of classes which guides developers. At a general level, the
 176 term *class* refers to the encapsulated unit and at the conceptual level, models types and their associations; the
 177 implementation level models implementation classes. While both can be more generally thought of as classes, their
 178 usage as concepts and implementation notions is important both in purpose and semantics. Package diagrams
 179 group classes in conceptual packages for presentation and consideration. (Physical aggregations of classes are
 180 called *components* that are in the implementation model family, mentioned below.)

- 182 • **Dynamic models**

183 These include interaction diagrams (that is, sequence and collaboration diagrams), state charts and activity
 184 diagrams.

- 186 • **Use cases**

187 The specification of actions that a system or class can perform by interacting with outside actors. They are
 188 commonly used to describe how a customer communicates with a software product.

- 190 • **Implementation models**

191 These describe the component distribution on different platforms, such as component models and deployment
 192 diagrams

- 194 • **Object Constraint Language (OCL)**

195 This is a simple formal language to express more semantics within an UML specification. It can be used to define
 196 constraints on the model, invariant, pre- and post-conditions of operations and navigation paths within an object net.

197
 198 For modelling agents and agent-based systems, UML is insufficient. Compared to objects, agents are active because
 199 they act for reasons that emerge from themselves. The activity of agents is based on their internal states, which include
 200 goals and conditions that guide the execution of defined tasks. While objects need control from outside to execute their
 201 methods, agents know the conditions and intended effects of their actions and hence take responsibility for their needs.
 202 Furthermore, agents do not only act solely but together with other agents. Multi-agent systems can often resemble a
 203 social community of interdependent members that act individually.

204
 205 However, no sufficient specification formalism exists yet for agent-based system development. To employ agent-based
 206 programming, a specification technique must support the whole software engineering process—from planning, through
 207 analysis and design, and finally to system construction, transition, and maintenance.

208 A proposal for a full life-cycle specification of agent-based system development is beyond the scope of this
209 specification. Here, we suggest a subset of an agent-based extension to the standard UML, called AUML, for the
210 specification of agent interaction protocols (AIPs).

211

212 It has to be distinguished between generic (or parameterised) protocols (and their instantiations) and domain-specific
213 protocols.

214

215 **3.2 Extending UML by Protocol Diagrams**

216 In the following, we provide sequence diagrams for AUML [Odell2000], an extension to UML. We refer to these
217 sequence diagrams as *protocol diagrams* (PDs) which show well-defined interactions among agents. Note that we do
218 not define formal semantics for the communicative acts for AUML, but instead use the UML meta-model.

219

220 **3.2.1 Protocol Diagrams**

221 Adapted from [OMGuml], section 3.59.

222

223 3.2.1.1 Semantics

224 A PD represents an interaction, which is a set of messages exchanged among different agent roles within a
225 collaboration to effect a desired behaviour of other AgentRoles or agent instances.

226

227 3.2.1.2 Notation

228 A PD has two dimensions: the vertical dimension represents time, the horizontal dimension represents different
229 AgentRoles. Normally the time proceeds down the page and usually only time sequences are important, but in real-time
230 applications the time axis could be an actual metric. There is no significance to the horizontal ordering of the
231 AgentRoles.

232

233 3.2.1.3 Presentation Options

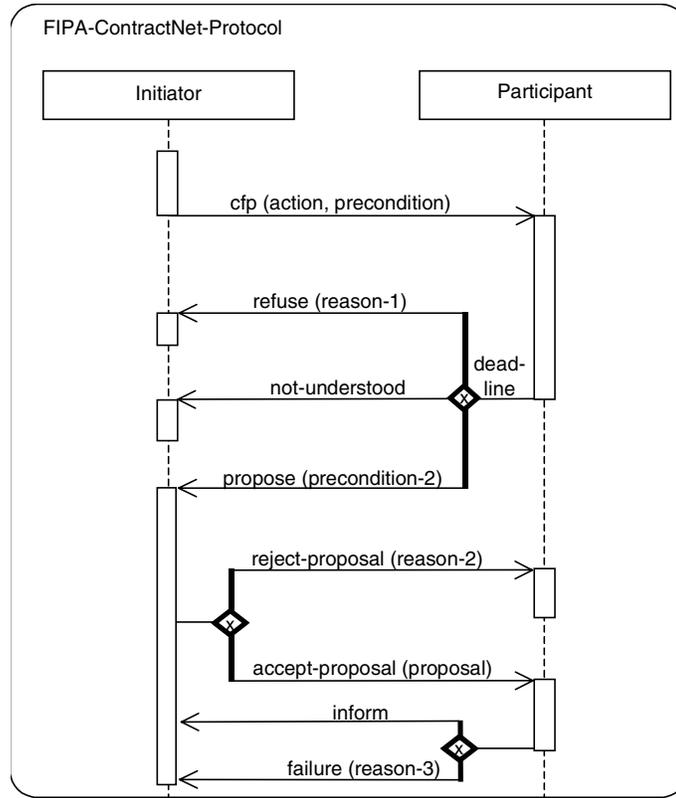
234 The axes can be interchanged, so that time proceeds horizontally to the right and different AgentRoles are shown as
235 horizontal lines.

236

237 Various labels (such as timing marks, generated goals depending on the received message, etc.) can be shown either
238 in the margin or near the lifelines or messages that they label.

239

240 3.2.1.4 Example
 241



242
 243

244 3.2.1.5 Mapping

245 The mapping is analogous defined as for sequence diagrams (see [OMGuml]).

246

247 A PD maps like a sequence diagram into an Interaction and an underlying Collaboration. An Interaction specifies a
 248 sequence of communications; it contains a collection of partially ordered Messages, each specifying a communication
 249 between a sender role and a receiver role. Collections of agent roles that conform to the ClassifierRoles in the
 250 Collaboration owning the Interaction, communicate by dispatching Stimuli that conform to the Messages in the
 251 Interaction. An AgentRole maps into a ClassifierRole. A PD presents one collection of AgentRoles and arrows mapping
 252 to AgentRole and Stimuli that conform to the ClassifierRoles and Messages in the Interaction and its Collaboration.
 253

254

255 In a PD, each AgentRole box with its lifeline maps into an agent role that conforms to a ClassifierRole in the
 256 Collaboration. The name fields maps into the name of the agent, the role name into the Classifier's name and the class
 257 field maps into the names of the Classifier (in this case AgentClasses being Classes) being the base Classifiers of the
 258 ClassifierRole. The splitting of lifelines has a concurrency Association defining either AND/OR parallelism or decision
 259 Association denoting threads (<<thread>>). The associations among roles are not shown on the sequence diagram
 260 since they must be obtained in the model from a complementary collaboration diagram or other means. A message
 261 arrow maps into a Stimulus connected to two AgentRoles. the sender and receiver AgentRole. The Stimulus conforms
 262 to a Message between the ClassifierRoles corresponding to the two AgentRoles' lifelines that the arrow connects. The
 263 Link is used for the communication of the Stimulus and plays the role specified by the AssociationRole connected to the
 264 Message. Unless the correct Link can be determined from a complementary collaboration diagram or other means, the
 265 Stimulus is either not attached to a Link (not a complete model), or it is attached to an arbitrary Link or to a dummy Link
 266 between the Instances conforming to the AssociationRole implied by the two ClassifierRoles due to the lack of complete
 267 information. The name of the communicative act is mapped onto the behaviour associated by the action performing,
 268 requested information, information passing, negotiation or error handling connected to the Message. Different
 269 alternatives exist for showing the arguments of the Stimulus. If references to the actual Instances being passed as
 270 arguments are shown, these are mapped onto the arguments of the Stimulus. If the argument expressions are shown
 instead, these are mapped onto the Arguments of the action performing, requested information, information passing,

negotiation or error handling connected to the dispatching communicative act. Finally, if the types of the arguments are shown together with the name of the communicative act, these are mapped onto the parameter types of the communicative act. A timing label placed on the level of an arrow endpoint maps into the name of the corresponding Message. A constraint or guard placed on the diagrams maps into a Constraint on the entire Interaction. The cardinality label restricts the number of sending and receiving instances of agent roles accordingly to the numbers denoted at the beginning (sender) and end (receiver) of the message.

An arrow with the arrowhead pointing to an AgentRole symbol within the frame of the diagram maps into a Stimulus dispatched by a `CreateAction`, that is, the Stimulus conforms to a Message in the Interaction which is connected to the `CreateAction`. The interpretation is that the AgentRole instance (not an arbitrary agent role, nor a set of AgentRole instances) is created by dispatching the Stimulus, and the AgentRole instance conforms to the receiver role specified in the Message. After the creation of the AgentRole instance, it may immediately start interacting with other AgentRoles. This implies that the creation of the AgentRole dispatches these Stimuli. If an AgentRole instance termination symbol ("X") is the target of the of an arrow, the arrow maps into a Stimulus which will cause the receiving agent role instance to be removed. The Stimulus conforms to a Message in the Interaction with a `DestroyAction` attached to the Message or the agent instance terminates itself.

The order of the arrows in the diagram map onto a pair of associations between the Messages that correspond to the Stimuli the arrows maps onto. A predecessor association is established between Messages corresponding to successive arrow ends in the vertical sequence. In case of concurrent arrows preceding an arrow, the corresponding Message has a collection of predecessors. In case of exclusive-or and inclusive-or arrows preceding an arrow the corresponding message has one and at least one out of the collection of possible predecessors, respectively. Moreover, each Message has an activator (thread of interaction) association to the Message corresponding to the incoming arrow of the activation or pro-active sending of a message.

A nested protocol maps into a PD. The name compartment of a nested protocol maps into the name of the Collaboration. The guard and constraint compartment maps into a constraint on the complete Interaction.

A complex nested protocol maps into a PD. The order of the messages within the protocol is defined according to the combination of the complex nested protocol. The ordering of the messages in the nested protocol is the ordering of these protocols. Depending on the combination the messages are sent in AND/OR parallelism or decision ordering.

3.2.2 AgentRoles

In the framework of agent oriented programming an agent satisfying a distinguished role behaves in a special way. In contrast to this semantics *role* in UML is an instance focused term. Moreover the term *multi-object* does not fit to describe AgentRoles but it is used to show operations that address the entire set, rather than a single object in it. However, there is a communication with one instance of this multi-object. By *AgentRole* a set of agents satisfying distinguished properties, interfaces or having a distinguished behaviour are meant.

UML distinguishes between:

- multiple classifications where a retailer agent can act as well as a buyer as well as a seller agent, for example, and,
- dynamic classification where an agent can change its classification during its existence.

Agents can perform various roles within one IP. Using a contract-net protocol, for example, between a buyer and a seller of a product, the initiator of the protocol has the role of a buyer and the participant has the role of a seller. But the seller can as well be a retailer agent, which acts as a seller in one case and as a buyer in another case, i.e. agents satisfying a distinguished role can support multiple classification and dynamic classification. Another example can be found in [FIPA00023] which defines the functionality of the Directory Facilitator (DF) and the Agent Management System (AMS). These functionalities can be implemented by different agents, but the functionality of the DF and AMS can also be integrated into one agent.

An AgentRole can be seen as a set of agents satisfying a distinguished interface, service description or behaviour. Therefore the implementation of an agent can satisfy different roles.

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Note that within FIPA the notion of role is not used, but in the framework of specifying agent-based systems this notion is appropriate.

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3.2.2.1 Semantics

An AgentRole describes two different variations that can apply within a protocol definition. A protocol can be defined between different concrete agent instances or a set of agents satisfying a distinguished role and/or class. An agent satisfying a distinguished AgentRole and class is called agent of a given AgentRole and class, respectively.

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3.2.2.2 Notation

An AgentRole is shown as a rectangle that is filled with some string of one of the following forms:

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340
341
342
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- **role**
This denotes arbitrary agents satisfying the distinguished AgentRole.
- **instance / role-1 ... role-n**
This denotes a distinguished agent instance that satisfies the AgentRoles 1-n where $n \geq 0$.
- **instance / role-1 ... role-n : class**
This denotes a distinguished agent instance that satisfied the AgentRoles 1-n where $n \geq 0$ and class it belongs to.

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348
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3.2.2.3 Presentation Options

The second case can be abbreviated as instance if n equals zero, that is, a concrete agent is meant independent of the role(s) it satisfies and class it belongs to.

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352

3.2.2.4 Example



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354

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3.2.2.5 Mapping

See Section 3.2.1.5, Mapping.

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3.2.3 Agent Lifeline

The agent lifeline defines the time period when an agent exists. For example a user agent is created when a user logs on to the system and the user agent is destroyed when the user logs off. Another example is when an agent migrates from one machine to another.

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3.2.3.1 Semantics

A PD defines the pattern of communication, that is, the steps in which the communicative acts are sent between agents of different AgentRoles. The agent lifeline describes the time period in which an agent of a given AgentRole exists. Only during this time period an agent can participate on a protocol.

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The lifeline starts when the agent of a given AgentRole is created and ends when it is destroyed. The lifeline can be split in order to describe AND/OR parallelism and decisions and may merge together at some subsequent point.

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3.2.3.2 Notation

An agent lifeline is shown as a vertical dashed line. The lifeline represents the existence of an agent of a given AgentRole at a particular time. If the agent is created or destroyed during the period of time shown on the PD, then its lifeline starts or stops at the appropriate point; otherwise it goes from the top of the diagram to the bottom. An AgentRole is drawn at the head of the lifeline. If an agent of a given AgentRole is created during the PD, then the

376 message that creates it is drawn with its arrowhead on the AgentRole. Note, that the AgentRole (see Section 3.2.3.4,
 377 *Example*) that receives the message is responsible for the creation of the agent instance, that is, the arrowhead ends at
 378 the dashed line of the AgentRole receiving the message and the AgentRole is fixed at the left-hand or right-hand side of
 379 the lifeline or the thread of interaction. If an agent instance is destroyed during the PD, then its destruction is marked by
 380 a large "X", either at the message that causes the destruction or (in the case of self destruction) at the final action of the
 381 AgentRole. The termination is restricted to concrete instances of an agent role.
 382

383 AgentRoles that exist when a protocol starts is shown at the top of the diagram (above the first message arrow). An
 384 AgentRole that exists when the protocol finishes has its lifeline continued beyond the final arrow of the diagram.
 385

386 The lifeline may split into two or more lifelines to show AND/OR parallelism and decisions. Each separate track
 387 corresponds to a branch in the message flow. The lifelines may merge together at some subsequent point. The splitting
 388 of the lifeline for:

- 389 • AND parallelism starts at a horizontal heavy bar,
- 390
- 391 • OR parallelism (inclusive-or) starts at a horizontal heavy bar with a non-filled diamond, and,
- 392
- 393 • decision (exclusive-or) starts at a horizontal heavy bar with a non-filled diamond with "x" inside the diamond and is
 394 continued with a set of parallel vertical lifelines connected to the heavy bar.
 395
- 396

397 The merging is done the analogous way, that is, the parallel vertical lifelines stop at some of the horizontal heavy bars
 398 and one lifeline is continued from at the heavy bar.
 399

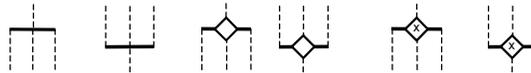
400 3.2.3.3 Presentation Options

401 None.

402

403 3.2.3.4 Example

404



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406

407 See also *Section 3.2.1.4*,

408 *Example.*

409

410 3.2.3.5 Mapping

411 See Section 3.2.1.5, *Mapping*.

412

413 **3.2.4 Threads of Interaction**

414 The sending of messages can be done either in parallel or as a decision between different communicative acts.
415 Receiving different communicative acts usually results in different behaviour and different answers, that is, the
416 behaviour of an AgentRole depends on the received message.

417

418 Adapted from [OMGuml], section 7.4.

419

420 3.2.4.1 Semantics

421 Since the behaviour of an AgentRole depends on the incoming message and different communicative acts are allowed
422 as an answer to a communicative act, the thread of interaction, that is, the processing of incoming messages, has to be
423 split up into different threads of interaction. The lifeline of an AgentRole is split and the thread of interaction defines the
424 reaction to received messages.

425

426 The thread of interaction shows the period during which an AgentRole is performing some task as a reaction to an
427 incoming message. It represents only the duration of the action in time, but not the control relationship between the
428 sender of the message and the receiver. A thread of interaction is always associated with the lifeline of an AgentRole.
429 Note we do not mean a physical thread in this context. The specification is independent of the implementation using
430 threads or other mechanisms.

431

432 3.2.4.2 Notation

433 A thread of interaction is shown as a tall thin rectangle whose top is aligned with its initiation time and whose bottom is
434 aligned with its completion time. It is drawn over the lifeline of an AgentRole. The task being performed may be labelled
435 as text next to the thread of interaction or in the left margin, depending on the style; alternately the incoming message
436 may indicate the task, in which case it may be omitted on the thread of interaction itself.

437

438 If the distinction between the reaction to different incoming communicative acts can be neglected, the entire lifeline may
439 be shown as one thread of interaction.

440

441 3.2.4.3 Presentation Options

- 442 • Variation

443 A thread of interaction may can take only a short period of time. To simplify diagrams, for compactification reasons
444 of the diagram the parallelism and the decisions can be abbreviated by omitting the splitting/merging and putting the
445 different threads of interaction one after another on the lifeline.

446

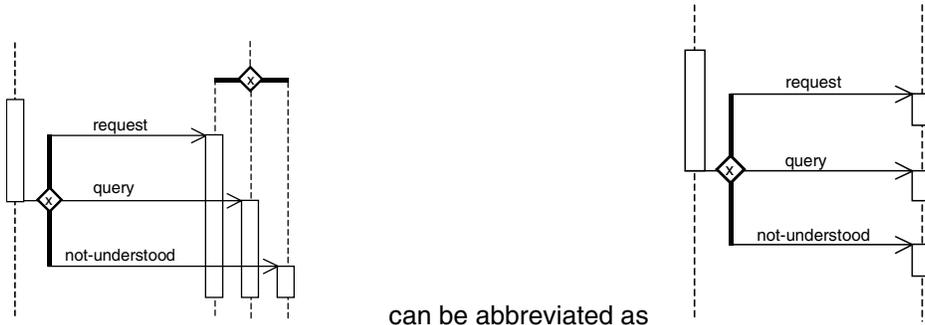
- 447 • Variation

448 A break of the rectangle describes a change in the thread of interaction.

449

450 3.2.4.4 Example

451



452
453

454 3.2.4.5 Mapping
455 See Section 3.2.1.5, Mapping.
456

457 **3.2.5 Messages**

458 The main issue of protocols is the definition of communicative patterns, especially the sending of messages from one
459 AgentRole to another. This sending can be done in different ways, for example, with different cardinalities, depending
460 on some constraints or using AND/OR parallelism and decisions.

461
462 Adapted from [OMGuml], section 7.5 and section 8.9.
463

464 3.2.5.1 Semantics

465 A message or sending of a communicative act is a communication from one AgentRole to another that conveys
466 information with the expectation that the receiving AgentRole would react according to the semantics of the
467 communicative act. The specification of the protocol says nothing about the implementation of the processing of the
468 communicative act.
469

470 3.2.5.2 Notation

471 A message sending is shown as a horizontal solid arrow from a thread of interaction of an AgentRole to another thread
472 of interaction of another AgentRole. In case of a message is sent from an AgentRole to itself (note that there might be
473 many individual agents in an AgentRole), the arrow may start and end on the same lifeline or thread of interaction. Such
474 a nested thread of interaction is denoted by a thread of interaction that is shifted a little bit to the right side in the actual
475 thread of interaction.
476

477 Nested protocols are represented by a separate thread of interaction, along with an arrow initiating the nested protocol
478 and one or more arrows terminating the nested protocol. The initiating arrow is drawn starting with a small solid filled
479 circle, and a terminating arrow ends with a circle surrounding a small solid filled circle.
480

481 Each arrow is labelled with a message label that has the following syntax:

482
483 *predecessor guard-condition sequence-expression communicative-act argument-list*
484

485 Where:

- 487 • *predecessor*
488 This consists of at most one natural number followed by a slash (/) defining the sequencing of a parallel construct
489 or the number of the input and output parameter in the context of Section 3.2.9, *Threads of Interaction and*
490 *Messages Inside and Outside Nested Protocols*, xxxx. The clause is omitted if the list is empty.
491
- 492 • *guard-condition*
493 This is a usual UML guard condition, with the semantics, that the message is sent iff the guard is true. The guard
494 conditions must be defined on the behavioural semantics of the agents, that is, the internal state of the agent must
495 not be used in the definition of the guard.

496

497

- *sequence-expression*

498

This is a constraint, especially with $n..m$ which denotes that the message is sent n up to m times with $n \in \mathbb{N}$, $m \in \mathbb{N} \cup \{*\}$ ¹. The keyword `broadcast` denotes the broadcast sending of a message; the keyword `deadline` denotes a string that is encoded according to [ISO8601] and represents the deadline by which a message is useful.

500

501

502

- *communicative-act*

503

This is either the name, that is, a string representation with an underlined name, of a concrete communicative act instance, the name of a concrete communicative act instance and its associated communicative act, written as *name:communicative-act* or only the name of the communicative act, for example, `inform`.

504

505

506

- *argument-list*

507

This is a comma-separated list of arguments enclosed in parentheses. The parentheses can be omitted if the list is empty. Each argument is an expression in pseudo-code or an appropriate programming language or an OCL expression.

508

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512

3.2.5.3 Presentation Options

513

- Variation: Cardinality

514

The cardinality of a message (for example, n senders and m receivers of a message) is shown by writing natural numbers at the beginning and at the end of the arrow. This variation is only allowed if the sender and/or receiver is not an instance of an agent.

515

516

517

- Variation: Asynchronous Message Passing

518

An asynchronous message is drawn with a stick arrowhead (\longrightarrow). It shows the sending of the message without yielding control.

519

520

521

- Variation: Synchronous Message Passing

522

A synchronous message is drawn with a filled solid arrowhead (\longrightarrow). It shows the yielding of the thread of control (wait semantics), that is, the AgentRole waits until an answer message is received and nothing else can be processed.

523

524

525

526

- Variation: Time intensive Message Passing

527

Normally message arrows are drawn horizontally. This indicates the duration required to send the message is atomic, that is, it is brief compared to the granularity of the interaction and that nothing else can take place during the message transmission. That is the correct assumption within many computers. If the messages requires some time to arrive for mobile communication, for example, during which something else can occur then the message arrow may be slanted downward so that the arrowhead is below the arrow tail (\searrow).

528

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- Variation: Repetition

534

The repetition of parts of a PD is represented by an arrow or one of its variations usually marked by some guards or constraints ending at a thread of interaction which is according to the time axis before or after the actual time point. Note, that in this case the time ordering on the PDs is violated.

535

536

537

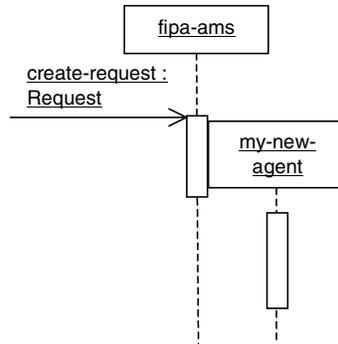
538

539

3.2.5.4 Example

540

¹ The asterisk represents repetition an arbitrary number of times.



541
542

543 3.2.5.5 Mapping
544 See Section 3.2.1.5, Mapping.
545

546 **3.2.6 Complex Messages**

547 Besides the already presented kinds of messages, more complex messages can be used.
548

549 3.2.6.1 Semantics

550 A complex message may be the parallel sending of messages or exclusively sending of exactly one message out of a
551 set of different messages.
552

553 3.2.6.2 Notation

554 Three kinds of complex messages are distinguished. All three complex messages substitute an arrow from one thread
555 of interaction to another thread of interaction by an arrow starting at one thread of interaction ending either:

- 556 • at a heavy bar (for AND parallelism),
- 557 • at a heavy bar with a non-filled diamond (for OR parallelism; inclusive-or), or,
- 558 • at a heavy bar with a non-filled diamond (for decisions; exclusive-or) with an "x" inside the diamond.

559 From these different kinds of heavy bars new arrows start in a right angle at the bar and end at possibly different
560 threads of interaction, which are possibly combined in a parallel or decisional way.

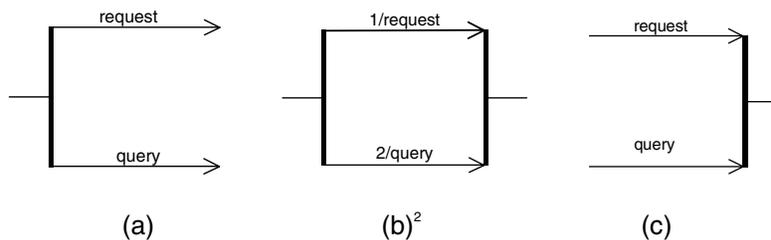
561 The merging of different messages is done in the analogous way, that is, the parallel horizontal message arrows stop at
562 one vertical bar and one message arrow is continued from the heavy bar.

569 3.2.6.3 Presentation Options

570 None.
571

572 3.2.6.4 Example

573



574
575
576

² This shows the restriction that request is sent before query.

577

578 3.2.6.5 Mapping

579 See *Section 3.2.1.5, Mapping*.

580

581 **3.2.7 Nested Protocols**582 Nested protocols are applied to specify complex systems in a modular way. Moreover the reuse of parts of a
583 specification increases the readability of them.

584

585 A nested protocol can be defined and applied, if it is used several times within the same specification. In contrast to a
586 parameterised protocol it is only an abbreviation for a fixed (part of a) protocol. Additionally nested protocols are used
587 for the definition of repetition of a nested protocol according to guards and constraints.

588

589 Interleaved protocols show that between different agents a protocol is performed and more over in order to
590 finish/proceed the protocol an agent has to perform another protocol with other agents.

591

592 3.2.7.1 Semantics

593 If the nested protocol is marked with some guard then the semantics of the nested protocol is the semantics of the
594 protocol under the assumption that the guard evaluates to true, otherwise the semantics is the semantics of an empty
595 protocol, that is, nothing is specified.

596

597 If the nested protocol is marked with some constraints the nested protocol is repeated as long as the constraints
598 evaluate to true.

599

600 3.2.7.2 Notation

601 A nested protocol is shown as a rectangle with rounded corners. It may have one or more compartments. The
602 compartments are optional. They are as follows:

603

604 • **Name compartment**605 This holds the (optional) name of the nested protocol as a string. Nested protocols without names are anonymous.
606 It is undesirable to show the same named nested protocol twice in the same diagram except when they define the
607 same nested protocol. The compartment is written in the upper left-hand corner of the rectangle.

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- **Guard compartment**
This holds the (optional) guard of the nested protocol in the usual guard notation as [guard-condition]. Nested protocols without guards are equivalent with nested protocols with guard [true]. The guard compartment is written together with the constraint compartment in the lower left-hand corner of the rectangle.
- **Constraint compartment**
This holds the (optional) constraint of the nested protocol in the usual constraint notation as {constraint-condition}. Nested protocols without constraints are equivalent with nested protocols with constraint {1}. The constraint compartment is written together with the guard compartment in the lower left-hand corner of the rectangle. In addition to the constraint condition used in UML the constraint $n..m$ denotes that the nested protocol is repeated n up to m times with $n \in \mathbb{N}$, $m \in \mathbb{N} \cup \{*\}$.

Another nested protocol can completely be drawn within the actual nested protocol denoting that the inner one is part of the outer one.

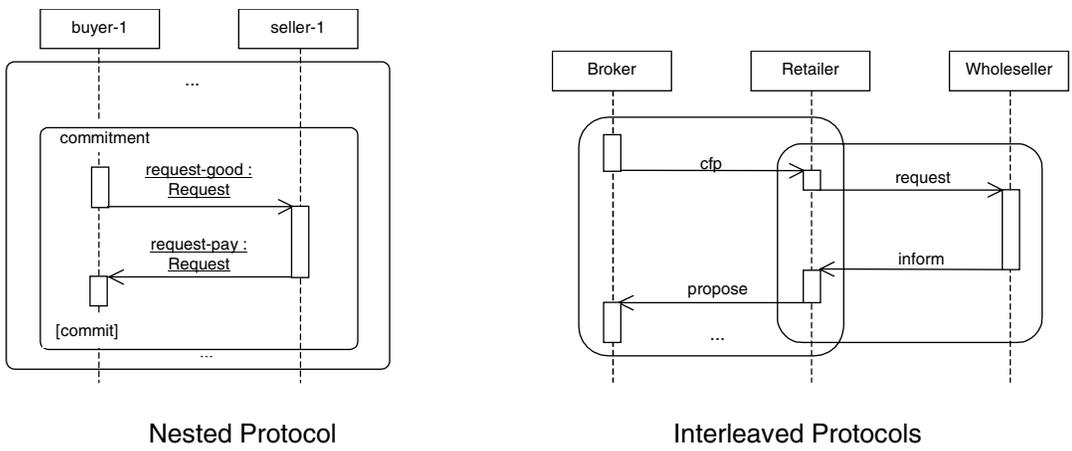
3.2.7.3 Presentation Options

The abbreviations n and $*$ can be applied to denote $n..n$ and $0..*$, respectively. Beyond the above usage of nested protocols for simple protocols, nested protocols can also be used applying parameterised protocols or instantiated parameterised protocols.

Another presentation option is the definition of interleaved protocols. For a nested protocol being part of another protocol the rectangle representing it has to be completely drawn within the other one. If interleaved protocols are defined, that is, during performing one IP another IP has to be processed, the rectangles are not drawn within each other.

3.2.7.4 Example

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635



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3.2.8 Complex Nested Protocols

Beyond the already presented nested and interleaved protocols, other kinds of complex nested protocols can also be defined.

3.2.8.1 Semantics

A complex nested protocol defines the parallel or decisional combination of nested protocols. It has to take into consideration the thread of interaction at the beginning and at the end of the complex nested protocol. Furthermore the starting and ending point within the nested protocols have to be considered.

648

649 3.2.8.2 Notation

650 Three kinds of complex nested protocols are distinguished. All three complex nested protocols are drawn over the
 651 lifeline and threads of interaction within a PD. Each individual nested protocol in a complex nested protocol is
 652 introduced by a line that is terminated by the rectangle of a nested protocol. These lines are connected either by:

653

654 • a heavy bar defining AND parallelism,

655

656 • a heavy bar with a non-filled diamond defining OR parallelism (inclusive-or), or,

657

658 • a heavy bar with a non-filled diamond defining decisions (exclusive-or) with an "x" inside the diamond.

659

660 The threads of interaction which are continued in the different nested protocols are drawn as usual.

661

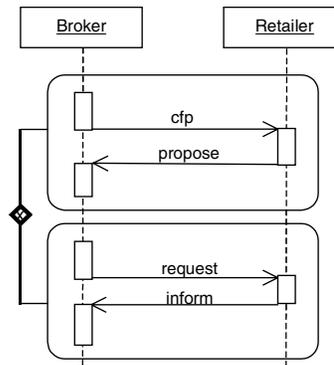
662 3.2.8.3 Presentation Options

663 None.

664

665 3.2.8.4 Example

666



667

668

669 3.2.8.5 Mapping

670 See *Section 3.2.1.5, Mapping*.

671

672 **3.2.9 Threads of Interaction and Messages Inside and Outside Nested Protocols**

673 Usually, nested protocols have input and output parameters, namely threads of interaction and messages.

674

675 3.2.9.1 Semantics

676 Nested protocols are defined in detail either within a PD where it is used or outside another PD. Threads of interaction
 677 and messages inside and outside nested protocols define the input and output parameter for nested protocols.

678

679 The input parameters are the threads of interaction, which are carried on in the nested protocol, and the messages
 680 which are received from other IPs.

681

682 The output parameters are on the one side the threads of interaction which are started within the nested protocol and
 683 are carried on outside the nested protocol and the messages which are sent from inside the nested protocol to
 684 AgentRoles not involved in the actual nested protocol. A message or thread of interaction ending at an input or starting
 685 at an output parameter of a nested protocol describes the connection of a whole PD with the embedded nested
 686 protocol.

687

688 3.2.9.2 Notation

689 The input and output parameters for the threads of interaction of a nested protocol are shown as a tall thin rectangle
 690 (like a thread of interaction) which is drawn beyond the bounds of over the top line and bottom line of the nested
 691 protocol rectangle, respectively.
 692

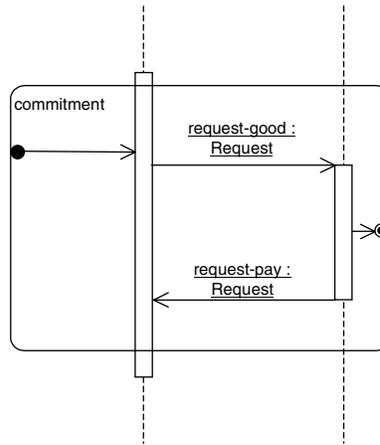
693 The input and output message parameters are shown by arrows starting with a small solid filled circle, and arrows
 694 ending at a circle surrounding a small solid filled circle (a bull's eye), respectively.

695 3.2.9.3 Presentation Options

696 The message arrows can be marked like usual messages. In this context, the predecessor denotes the number of the
 697 input/output parameter. The input/output thread of interaction can be marked with natural numbers to define the exact
 698 number of the parameter.
 699

700 3.2.9.4 Example

701

702
703

704 3.2.9.5 Mapping

705 See Section 3.2.1.5, *Mapping*.
 706

707 **3.2.10 Parameterised Protocols**

708 Adapted from [OMGuml], section 5.11.
 709

710 3.2.10.1 Semantics

711 A parameterised protocol is the description for an IP with one or more unbound formal parameters. It therefore defines
 712 a family of protocols, each protocol specified by binding the parameters to actual values. Typically the parameters
 713 represent agent roles, constraints, instances of communicative acts and nested protocols. The parameters used within
 714 the parameterised protocol are defined in terms of the formal parameters so they are become bound when the
 715 parameterised protocol itself is bound to the actual values.
 716

717 A parameterised protocol is not a directly-usable protocol because it has unbound parameters. Its parameters must be
 718 bound to actual values to create a bound form that is a protocol.
 719

720 3.2.10.2 Notation

721 A small dashed rectangle is superimposed on the upper right-hand corner of the rectangle with rounded corners as
 722 when defining a nested protocol. The dashed rectangle contains a parameter list of formal parameters for the protocol.
 723 The list must not be empty, although it might be suppressed in the presentation. The name of the parameterised
 724 protocol is written as a string in the upper left-hand corner.
 725

726 The parameter list is a comma-separated list of arguments (formal parameters) defined by identifiers, like names for
727 AgentRoles, constraint expressions, communicative acts or nested protocol names.
728

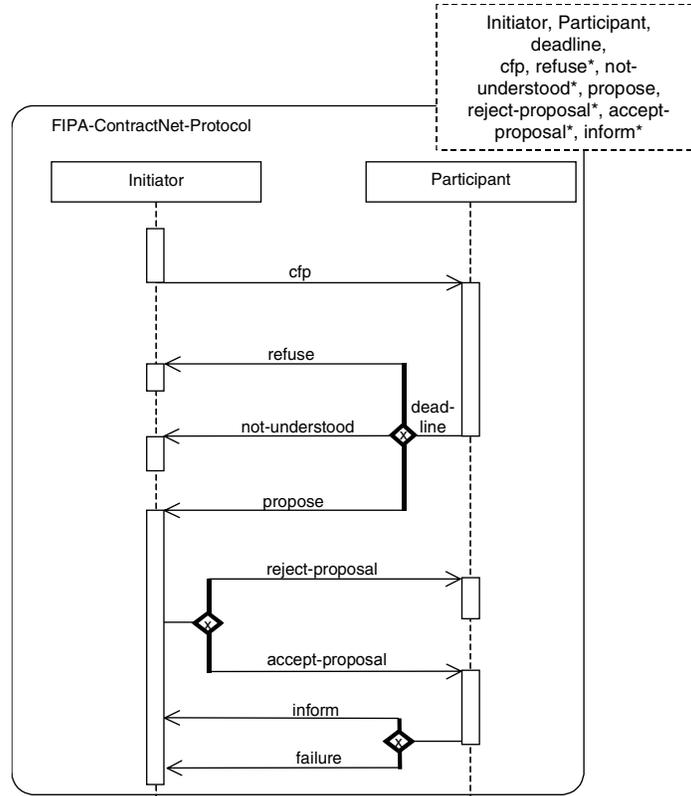
729 3.2.10.3 Presentation Options

730 The input/output parameters like messages and threads of interactions can be used and defined as for nested
731 protocols.

732 Communicative act can be marked with an asterisk in the parameter specification, denoting different kinds of messages
733 that can alternatively be sent in this context.
734

735 3.2.10.4 Example

736



737
738

739 3.2.10.5 Mapping

740 See Section 3.2.1.5, Mapping.

741

742 3.2.10.6 Comment

743 Note the difference between interleaved, nested and parameterised protocols. An interleaved protocol is used to show
744 that during the execution of one protocol another one is started/performed. Nested protocols are used to show
745 repetitions of sub-protocols, identifying fixed sub-protocols, reference to a fixed sub-protocol, like asking the DF for
746 some information, or guarding a sub-protocol. Parameterised protocols are used to prepare patterns which can be
747 instantiated in different contexts and applications, for example, the FIPA Contract Net Protocol for appointment
748 scheduling and negotiation about some good which should be sold.
749

750 3.2.11 Bound Elements

751 Adapted from [OMGuml], section 5.12.

752

753 3.2.11.1 Semantics

754 A parameterised PD cannot be used directly in an ordinary interaction description, because it has free parameters that
755 are not meaningful outside of a scope that declares the parameter. To be used, a formal parameter of a parameterised
756 protocol must be bound to actual values. The actual value for each parameter is an expression defined within the scope
757 of use. If the referencing scope is itself a parameterised protocol, then the parameters of the referencing parameterised
758 protocol can be used as actual values in binding the referenced parameterised protocol, but the parameter names in the
759 two templates cannot be assumed to correspond, because they have no scope outside of their respective templates.
760 We can assume without loss of generality that the parameter names of the different parameterised protocols are
761 different.
762

763 3.2.11.2 Notation

764 A bound element is indicated in the name string of an element, as follows:

765
766 *parameterised-protocol-name* < *role-list*, *constraint-expression-list*, *value-list* >
767

768 Where:

769

- 770 • *parameterised-protocol-name*

771 This is identical to the name of the parameterised protocol.

772

- 773 • *role-list*

774 This is a comma-delimited list of role labels. *constraint-expression-list* is a comma-delimited list of constraint terms.

775

- 776 • *value-list*

777 This is a comma-delimited non-empty list of pairs, separated by a colon consisting of a value expression and a
778 communicative act. The communicative act is optional.

779

780 The number and types of the values must match the number and types of the parameterised protocol formal
781 parameters for the parameterised protocol of the given name. The bound element name may be used anywhere that
782 protocol of the parameterised kind could be used.
783

784 3.2.11.3 Presentation Options

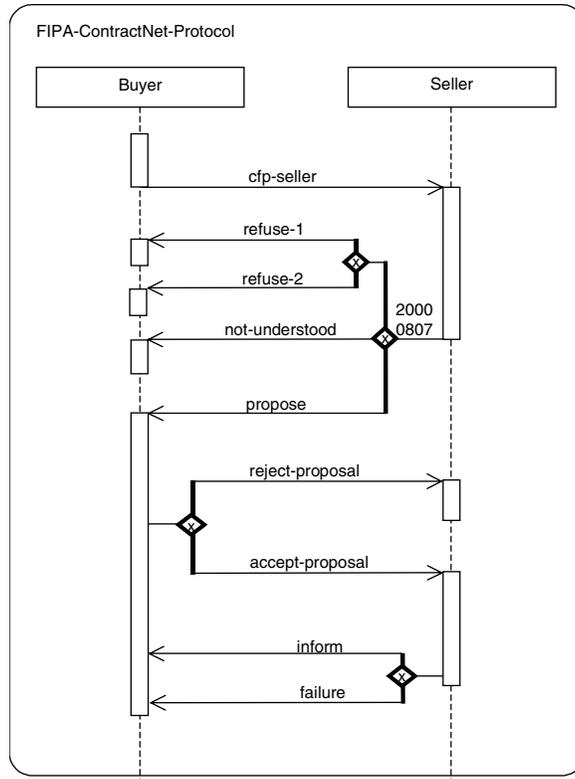
785 None.

786

```

787 3.2.11.4 Example
788
789 FIPA-ContractNet-Protocol
790 <
791   Buyer, Seller
792   20000807
793   cfp-seller : cfp,
794   refuse-1   : refuse,
795   refuse-2   : refuse, not-understood, propose, reject-proposal, accept-proposal,
796             : cancel, inform, failure
797 >
798

```



799
800

801 3.2.11.5 Mapping

802 The use of the bound element syntax for the name of a symbol maps into a Binding dependency between the
803 dependent ModelElement corresponding to the bound element symbol and the provider ModelElement whose name
804 matches the name part of the bound element without the arguments. If the name does not match a parameterised
805 protocol or if the number of arguments in the bound element does not match the number of formal parameters in the
806 parameterised protocol, then the model is ill-formed. Each argument in the bound element maps into a ModelElement
807 bearing a templateArgument association to the Namespace of the bound element. The Binding relationship bears the
808 list of actual argument values.
809

810 4 References

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825

826 **5 Informative Annex A — ChangeLog**

827 **5.1 2003/03/10 - version F by FIPA Architecture Board**

828 Entire document : Deprecated

829