

# FOUNDATION FOR INTELLIGENT PHYSICAL AGENTS

## FIPA Interaction Protocol Library Specification

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23 based applications. This occurs through open collaboration among its member organizations, which are companies and  
24 universities that are active in the field of agents. FIPA makes the results of its activities available to all interested parties  
25 and intends to contribute its results to the appropriate formal standards bodies.

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27 based applications, services and equipment. Membership in FIPA is open to any corporation and individual firm,  
28 partnership, governmental body or international organization without restriction. In particular, members are not bound to  
29 implement or use specific agent-based standards, recommendations and FIPA specifications by virtue of their  
30 participation in FIPA.

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32 specification can be either Preliminary, Experimental, Standard, Deprecated or Obsolete. More detail about the process  
33 of specification may be found in the FIPA Procedures for Technical Work. A complete overview of the FIPA  
34 specifications and their current status may be found in the FIPA List of Specifications. A list of terms and abbreviations  
35 used in the FIPA specifications may be found in the FIPA Glossary.

36 FIPA is a non-profit association registered in Geneva, Switzerland. As of January 2000, the 56 members of FIPA  
37 represented 17 countries worldwide. Further information about FIPA as an organization, membership information, FIPA  
38 specifications and upcoming meetings may be found at <http://www.fipa.org/>.

39 **Contents**

40	1	Scope.....	1
41	2	Overview.....	2
42	2.1	Interaction Protocols.....	2
43	2.2	Status of a FIPA-Compliant Interaction Protocol.....	2
44	2.3	FIPA Interaction Protocol Library Maintenance.....	3
45	2.4	Inclusion Criteria.....	3
46	3	AUML Sequence Diagrams for Interaction Protocol Specification .....	4
47	3.1	Introduction.....	4
48	3.2	Extending UML by Protocol Diagrams .....	5
49	3.2.1	Protocol Diagrams.....	5
50	3.2.2	AgentRoles.....	7
51	3.2.3	Agent Lifeline.....	8
52	3.2.4	Threads of Interaction .....	10
53	3.2.5	Messages.....	11
54	3.2.6	Complex Messages.....	13
55	3.2.7	Nested Protocols .....	14
56	3.2.8	Complex Nested Protocols.....	15
57	3.2.9	Threads of Interaction and Messages Inside and Outside Nested Protocols .....	16
58	3.2.10	Parameterised Protocols.....	17
59	3.2.11	Bound Elements .....	18
60	4	References .....	21
61	5	Informative Annex A — ChangeLog.....	22
62	5.1	2003/03/10 - version F by FIPA Architecture Board.....	22

## 63 **1 Scope**

64 This document contains:

65

66 • Specifications for structuring the FIPA Interaction Protocol Library (IPL) including a status of a FIPA Interaction  
67 Protocols (IPs), maintenance of the library and inclusion criteria for new IPs.

68

69 • A description of how to understand and express IPs using AUML (Agent Unified Modeling Language).

70

71 • The FIPA IP registry list.

72

73 This specification is primarily concerned with defining the structure of the FIPA IPL and the requirements for an IP to be  
74 included in the library.

75

## 76 2 Overview

77 This specification focuses on the organization, structure and status of the FIPA IPL and discusses the main  
78 requirements that an IP must satisfy in order to be FIPA-compliant. The objectives of standardising and defining a  
79 library of FIPA compliant IPs are:

- 80
- 81 • To provide tested patterns of agent interaction that may be of use in various aspects of agent-based systems,
- 82
- 83 • To facilitate the reuse of standard agent IPs, and,
- 84
- 85 • To express IPs in a standard agent unified modelling language (AUML).
- 86

87 In the following, we present the basic principles of the FIPA IPL which help to guarantee that the IPL is stable, that there  
88 are public rules for the inclusion and maintenance of the IPL, and that developers seeking a public IPs can use the IPL.  
89

### 90 2.1 Interaction Protocols

91 Ongoing conversations between agents often fall into typical patterns. In such cases, certain message sequences are  
92 expected, and, at any point in the conversation, other messages are expected to follow. These typical patterns of  
93 message exchange are called *interaction protocols*. A designer of agent systems has the choice to make the agents  
94 sufficiently aware of the meanings of the messages and the goals, beliefs and other mental attitudes the agent  
95 possesses, and that the agent's planning process causes such IPs to arise spontaneously from the agents' choices.  
96 This, however, places a heavy burden of capability and complexity on the agent implementation, though it is not an  
97 uncommon choice in the agent community at large. An alternative, and very pragmatic, view is to pre-specify the IPs, so  
98 that a simpler agent implementation can nevertheless engage in meaningful conversation with other agents, simply by  
99 carefully following the known IP.

100

101 This section of the specification details a number of such IPs, in order to facilitate the effective inter-operation of simple  
102 and complex agents. No claim is made that this is an exhaustive list of useful IPs, nor that they are necessary for any  
103 given application. The IPs are given pre-defined names and the requirement for adhering to the specification is:

104

105 *A FIPA ACL-compliant agent need not implement any of the standard IPs, nor is it restricted from using other IP names.*  
106 *However, if one of the standard IP names is used, the agent must behave consistently with the IP specification given*  
107 *here.*

108

109 *These IPs are not intended to cover every desirable interaction type. Individual IPs do not address a number of*  
110 *common real-world issues in agent interaction, such as exception handling, messages arriving out of sequence,*  
111 *dropped messages, timeouts, cancellation, etc. Rather, the IPs defined in this specification set should be viewed as*  
112 *interaction patterns, to be elaborated according to the context of the individual application. This strategy means that*  
113 *adhering to the stated IPs does not necessarily ensure interoperability; further agreement between agents about the*  
114 *issues above is required to ensure interoperability in all cases.*

115

116 Note that, by their nature, agents can engage in multiple dialogues, perhaps with different agents, simultaneously. The  
117 term *conversation* is used to denote any particular instance of such a dialogue. Thus, the agent may be concurrently  
118 engaged in multiple conversations, with different agents, within different IPs. The remarks in this section, which refer to  
119 the receipt of messages under the control of a given IP, refer only to a particular conversation.  
120

### 121 2.2 Status of a FIPA-Compliant Interaction Protocol

122 The definition of an IP belonging to the FIPA IPL is normative, that is, if a given agent advertises that it employs an IP in  
123 the FIPA Content Language Library (see [FIPA00007]), then it must implement the IP as it is defined in the FIPA IPL.  
124 However, FIPA-compliant agents are not required to implement any of the FIPA IPL IPs themselves, except those  
125 required for Agent Management (see [FIPA00023]).  
126

127 By collecting IP definitions in a single, publicly accessible registry, the FIPA IPL facilitates the use of standardized IPs  
128 by agents developed in different contexts. It also provides a greater incentive to developers to make their IPs generally  
129 applicable.  
130

131 FIPA is responsible for maintaining a consistent list of IP names and for making them publicly available. In addition to  
132 the list of encoding schemes, each IP in the FIPA IPL may specify additional information, such as stability information,  
133 versioning, contact information, different support levels, etc.  
134

### 135 **2.3 FIPA Interaction Protocol Library Maintenance**

136 The most effective way of maintaining the FIPA IPL is through the use of the IPs themselves by different agent  
137 developers. This is the most direct way of discovering possible bugs, errors, inconsistencies, weaknesses, possible  
138 improvements, as well as capabilities, strengths, efficiency, etc.  
139

140 In order to collect feedback on the IPs in the library and to promote further research, FIPA encourages coordination  
141 among designers, agent developers and FIPA members.  
142

### 143 **2.4 Inclusion Criteria**

144 To populate the FIPA IPL, setting fundamental guidelines for the selection of specific IPs is necessary. The minimal  
145 criteria that must be satisfied for an IP to be FIPA compliant are:  
146

- 147 • A clear and accurate representation of the IP is provided using AUML protocol diagram,
- 148
- 149 • Substantial and clear documentation must be provided, and,
- 150
- 151 • The usefulness of a new IP should be made clear.
- 152

153 FIPA does not enforce the use of any particular IP.  
154

## 155 3 AUML Sequence Diagrams for Interaction Protocol Specification

### 156 3.1 Introduction

157 During the 1970s, structured programming was the dominant approach to software development. Along with it, software  
 158 engineering technologies were developed in order to ease and formalize the system development lifecycle: from  
 159 planning, through analysis and design and finally to system construction, transition, and maintenance. In the 1980s,  
 160 object-oriented languages experienced a rise in popularity, bringing with it new concepts such as data encapsulation,  
 161 inheritance, messaging, and polymorphism. By the end of the 1980s and beginning of the 1990s, a jungle of modelling  
 162 approaches grew to support the object-oriented marketplace. To make sense of and unify these various approaches, an  
 163 Analysis and Design Task Force was established on 29 June 1995 within the Object Management Group (OMG). And  
 164 by November 1997, a de jure standard was adopted by the OMG members called the Unified Modelling Language  
 165 (UML - see [OMGuml]).

166  
 167 UML unifies and formalizes the methods of many object-oriented approaches, including analysis and design [Booch94  
 168 and Booch95], modelling [Rumbaugh91] and software engineering [Jacobson94]. It supports the following kinds of  
 169 models:

- 171 • **Static models**

172 Such as class and package diagrams describe the static semantics of data and messages. Within system  
 173 development, class diagrams are used in two different ways, for two different purposes. First, they can model a  
 174 problem domain conceptually and since they are conceptual in nature, they can be presented to the customers.  
 175 Second, class diagrams can model the implementation of classes which guides developers. At a general level, the  
 176 term *class* refers to the encapsulated unit and at the conceptual level, models types and their associations; the  
 177 implementation level models implementation classes. While both can be more generally thought of as classes, their  
 178 usage as concepts and implementation notions is important both in purpose and semantics. Package diagrams  
 179 group classes in conceptual packages for presentation and consideration. (Physical aggregations of classes are  
 180 called *components* that are in the implementation model family, mentioned below.)

- 182 • **Dynamic models**

183 These include interaction diagrams (that is, sequence and collaboration diagrams), state charts and activity  
 184 diagrams.

- 186 • **Use cases**

187 The specification of actions that a system or class can perform by interacting with outside actors. They are  
 188 commonly used to describe how a customer communicates with a software product.

- 190 • **Implementation models**

191 These describe the component distribution on different platforms, such as component models and deployment  
 192 diagrams

- 194 • **Object Constraint Language (OCL)**

195 This is a simple formal language to express more semantics within an UML specification. It can be used to define  
 196 constraints on the model, invariant, pre- and post-conditions of operations and navigation paths within an object net.

197  
 198 For modelling agents and agent-based systems, UML is insufficient. Compared to objects, agents are active because  
 199 they act for reasons that emerge from themselves. The activity of agents is based on their internal states, which include  
 200 goals and conditions that guide the execution of defined tasks. While objects need control from outside to execute their  
 201 methods, agents know the conditions and intended effects of their actions and hence take responsibility for their needs.  
 202 Furthermore, agents do not only act solely but together with other agents. Multi-agent systems can often resemble a  
 203 social community of interdependent members that act individually.

204  
 205 However, no sufficient specification formalism exists yet for agent-based system development. To employ agent-based  
 206 programming, a specification technique must support the whole software engineering process—from planning, through  
 207 analysis and design, and finally to system construction, transition, and maintenance.

208 A proposal for a full life-cycle specification of agent-based system development is beyond the scope of this  
209 specification. Here, we suggest a subset of an agent-based extension to the standard UML, called AUML, for the  
210 specification of agent interaction protocols (AIPs).

211

212 It has to be distinguished between generic (or parameterised) protocols (and their instantiations) and domain-specific  
213 protocols.

214

## 215 **3.2 Extending UML by Protocol Diagrams**

216 In the following, we provide sequence diagrams for AUML [Odell2000], an extension to UML. We refer to these  
217 sequence diagrams as *protocol diagrams* (PDs) which show well-defined interactions among agents. Note that we do  
218 not define formal semantics for the communicative acts for AUML, but instead use the UML meta-model.

219

### 220 **3.2.1 Protocol Diagrams**

221 Adapted from [OMGuml], section 3.59.

222

#### 223 3.2.1.1 Semantics

224 A PD represents an interaction, which is a set of messages exchanged among different agent roles within a  
225 collaboration to effect a desired behaviour of other AgentRoles or agent instances.

226

#### 227 3.2.1.2 Notation

228 A PD has two dimensions: the vertical dimension represents time, the horizontal dimension represents different  
229 AgentRoles. Normally the time proceeds down the page and usually only time sequences are important, but in real-time  
230 applications the time axis could be an actual metric. There is no significance to the horizontal ordering of the  
231 AgentRoles.

232

#### 233 3.2.1.3 Presentation Options

234 The axes can be interchanged, so that time proceeds horizontally to the right and different AgentRoles are shown as  
235 horizontal lines.

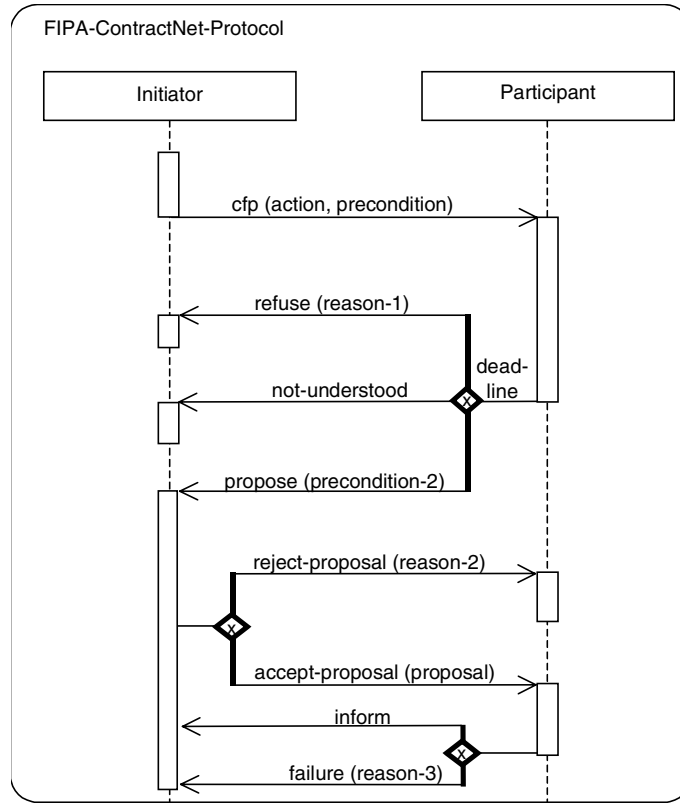
236

237 Various labels (such as timing marks, generated goals depending on the received message, etc.) can be shown either  
238 in the margin or near the lifelines or messages that they label.

239



240 3.2.1.4 Example  
241



242  
243

244 3.2.1.5 Mapping

245 The mapping is analogous defined as for sequence diagrams (see [OMGuml]).

246

247 A PD maps like a sequence diagram into an Interaction and an underlying Collaboration. An Interaction specifies a  
248 sequence of communications; it contains a collection of partially ordered Messages, each specifying a communication  
249 between a sender role and a receiver role. Collections of agent roles that conform to the ClassifierRoles in the  
250 Collaboration owning the Interaction, communicate by dispatching Stimuli that conform to the Messages in the  
251 Interaction. An AgentRole maps into a ClassifierRole. A PD presents one collection of AgentRoles and arrows mapping  
252 to AgentRole and Stimuli that conform to the ClassifierRoles and Messages in the Interaction and its Collaboration.  
253

254

255 In a PD, each AgentRole box with its lifeline maps into an agent role that conforms to a ClassifierRole in the  
256 Collaboration. The name fields maps into the name of the agent, the role name into the Classifier's name and the class  
257 field maps into the names of the Classifier (in this case AgentClasses being Classes) being the base Classifiers of the  
258 ClassifierRole. The splitting of lifelines has a concurrency Association defining either AND/OR parallelism or decision  
259 Association denoting threads (<<thread>>). The associations among roles are not shown on the sequence diagram  
260 since they must be obtained in the model from a complementary collaboration diagram or other means. A message  
261 arrow maps into a Stimulus connected to two AgentRoles. the sender and receiver AgentRole. The Stimulus conforms  
262 to a Message between the ClassifierRoles corresponding to the two AgentRoles' lifelines that the arrow connects. The  
263 Link is used for the communication of the Stimulus and plays the role specified by the AssociationRole connected to the  
264 Message. Unless the correct Link can be determined from a complementary collaboration diagram or other means, the  
265 Stimulus is either not attached to a Link (not a complete model), or it is attached to an arbitrary Link or to a dummy Link  
266 between the Instances conforming to the AssociationRole implied by the two ClassifierRoles due to the lack of complete  
267 information. The name of the communicative act is mapped onto the behaviour associated by the action performing,  
268 requested information, information passing, negotiation or error handling connected to the Message. Different  
269 alternatives exist for showing the arguments of the Stimulus. If references to the actual Instances being passed as  
270 arguments are shown, these are mapped onto the arguments of the Stimulus. If the argument expressions are shown  
instead, these are mapped onto the Arguments of the action performing, requested information, information passing,

271 negotiation or error handling connected to the dispatching communicative act. Finally, if the types of the arguments are  
 272 shown together with the name of the communicative act, these are mapped onto the parameter types of the  
 273 communicative act. A timing label placed on the level of an arrow endpoint maps into the name of the corresponding  
 274 Message. A constraint or guard placed on the diagrams maps into a Constraint on the entire Interaction. The cardinality  
 275 label restricts the number of sending and receiving instances of agent roles accordingly to the numbers denoted at the  
 276 beginning (sender) and end (receiver) of the message.

277

278 An arrow with the arrowhead pointing to an AgentRole symbol within the frame of the diagram maps into a Stimulus  
 279 dispatched by a `CreateAction`, that is, the Stimulus conforms to a Message in the Interaction which is connected to  
 280 the `CreateAction`. The interpretation is that the AgentRole instance (not an arbitrary agent role, nor a set of  
 281 AgentRole instances) is created by dispatching the Stimulus, and the AgentRole instance conforms to the receiver role  
 282 specified in the Message. After the creation of the AgentRole instance, it may immediately start interacting with other  
 283 AgentRoles. This implies that the creation of the AgentRole dispatches these Stimuli. If an AgentRole instance  
 284 termination symbol ("X") is the target of the of an arrow, the arrow maps into a Stimulus which will cause the receiving  
 285 agent role instance to be removed. The Stimulus conforms to a Message in the Interaction with a `DestroyAction`  
 286 attached to the Message or the agent instance terminates itself.

287

288 The order of the arrows in the diagram map onto a pair of associations between the Messages that correspond to the  
 289 Stimuli the arrows maps onto. A predecessor association is established between Messages corresponding to  
 290 successive arrow ends in the vertical sequence. In case of concurrent arrows preceding an arrow, the corresponding  
 291 Message has a collection of predecessors. In case of exclusive-or and inclusive-or arrows preceding an arrow the  
 292 corresponding message has one and at least one out of the collection of possible predecessors, respectively.  
 293 Moreover, each Message has an activator (thread of interaction) association to the Message corresponding to the  
 294 incoming arrow of the activation or pro-active sending of a message.

295

296 A nested protocol maps into a PD. The name compartment of a nested protocol maps into the name of the  
 297 Collaboration. The guard and constraint compartment maps into a constraint on the complete Interaction.

298

299 A complex nested protocol maps into a PD. The order of the messages within the protocol is defined according to the  
 300 combination of the complex nested protocol. The ordering of the messages in the nested protocol is the ordering of  
 301 these protocols. Depending on the combination the messages are sent in AND/OR parallelism or decision ordering.

302

### 303 3.2.2 AgentRoles

304 In the framework of agent oriented programming an agent satisfying a distinguished role behaves in a special way. In  
 305 contrast to this semantics *role* in UML is an instance focused term. Moreover the term *multi-object* does not fit to  
 306 describe AgentRoles but it is used to show operations that address the entire set, rather than a single object in it.  
 307 However, there is a communication with one instance of this multi-object. By *AgentRole* a set of agents satisfying  
 308 distinguished properties, interfaces or having a distinguished behaviour are meant.

309

310 UML distinguishes between:

311

- 312 • multiple classifications where a retailer agent can act as well as a buyer as well as a seller agent, for example, and,
- 313 • dynamic classification where an agent can change its classification during its existence.

314

315 Agents can perform various roles within one IP. Using a contract-net protocol, for example, between a buyer and a  
 316 seller of a product, the initiator of the protocol has the role of a buyer and the participant has the role of a seller. But the  
 317 seller can as well be a retailer agent, which acts as a seller in one case and as a buyer in another case, i.e. agents  
 318 satisfying a distinguished role can support multiple classification and dynamic classification. Another example can be  
 319 found in [FIPA00023] which defines the functionality of the Directory Facilitator (DF) and the Agent Management  
 320 System (AMS). These functionalities can be implemented by different agents, but the functionality of the DF and AMS  
 321 can also be integrated into one agent.

322

323 An AgentRole can be seen as a set of agents satisfying a distinguished interface, service description or behaviour.  
 324 Therefore the implementation of an agent can satisfy different roles.

325

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327  
328  
329

Note that within FIPA the notion of role is not used, but in the framework of specifying agent-based systems this notion is appropriate.

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332  
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### 3.2.2.1 Semantics

An AgentRole describes two different variations that can apply within a protocol definition. A protocol can be defined between different concrete agent instances or a set of agents satisfying a distinguished role and/or class. An agent satisfying a distinguished AgentRole and class is called agent of a given AgentRole and class, respectively.

335  
336  
337

### 3.2.2.2 Notation

An AgentRole is shown as a rectangle that is filled with some string of one of the following forms:

338  
339  
340

- **role**

This denotes arbitrary agents satisfying the distinguished AgentRole.

341  
342  
343

- **instance / role-1 ... role-*n***

This denotes a distinguished agent instance that satisfies the AgentRoles 1-*n* where  $n \geq 0$ .

344  
345  
346

- **instance / role-1 ... role-*n* : class**

This denotes a distinguished agent instance that satisfied the AgentRoles 1-*n* where  $n \geq 0$  and class it belongs to.

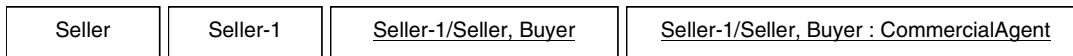
347  
348  
349  
350

### 3.2.2.3 Presentation Options

The second case can be abbreviated as instance if *n* equals zero, that is, a concrete agent is meant independent of the role(s) it satisfies and class it belongs to.

351  
352

### 3.2.2.4 Example



353  
354

355  
356  
357

### 3.2.2.5 Mapping

See *Section 3.2.1.5, Mapping*.

358  
359  
360  
361  
362

## 3.2.3 Agent Lifeline

The agent lifeline defines the time period when an agent exists. For example a user agent is created when a user logs on to the system and the user agent is destroyed when the user logs off. Another example is when an agent migrates from one machine to another.

363  
364  
365  
366  
367

### 3.2.3.1 Semantics

A PD defines the pattern of communication, that is, the steps in which the communicative acts are sent between agents of different AgentRoles. The agent lifeline describes the time period in which an agent of a given AgentRole exists. Only during this time period an agent can participate on a protocol.

368  
369  
370

The lifeline starts when the agent of a given AgentRole is created and ends when it is destroyed. The lifeline can be split in order to describe AND/OR parallelism and decisions and may merge together at some subsequent point.

371  
372  
373  
374  
375

### 3.2.3.2 Notation

An agent lifeline is shown as a vertical dashed line. The lifeline represents the existence of an agent of a given AgentRole at a particular time. If the agent is created or destroyed during the period of time shown on the PD, then its lifeline starts or stops at the appropriate point; otherwise it goes from the top of the diagram to the bottom. An AgentRole is drawn at the head of the lifeline. If an agent of a given AgentRole is created during the PD, then the

376 message that creates it is drawn with its arrowhead on the AgentRole. Note, that the AgentRole (see Section 3.2.3.4,  
 377 *Example*) that receives the message is responsible for the creation of the agent instance, that is, the arrowhead ends at  
 378 the dashed line of the AgentRole receiving the message and the AgentRole is fixed at the left-hand or right-hand side of  
 379 the lifeline or the thread of interaction. If an agent instance is destroyed during the PD, then its destruction is marked by  
 380 a large "X", either at the message that causes the destruction or (in the case of self destruction) at the final action of the  
 381 AgentRole. The termination is restricted to concrete instances of an agent role.  
 382

383 AgentRoles that exist when a protocol starts is shown at the top of the diagram (above the first message arrow). An  
 384 AgentRole that exists when the protocol finishes has its lifeline continued beyond the final arrow of the diagram.  
 385

386 The lifeline may split into two or more lifelines to show AND/OR parallelism and decisions. Each separate track  
 387 corresponds to a branch in the message flow. The lifelines may merge together at some subsequent point. The splitting  
 388 of the lifeline for:

- 389 • AND parallelism starts at a horizontal heavy bar,
- 390
- 391 • OR parallelism (inclusive-or) starts at a horizontal heavy bar with a non-filled diamond, and,
- 392
- 393 • decision (exclusive-or) starts at a horizontal heavy bar with a non-filled diamond with "x" inside the diamond and is  
 394 continued with a set of parallel vertical lifelines connected to the heavy bar.  
 395
- 396

397 The merging is done the analogous way, that is, the parallel vertical lifelines stop at some of the horizontal heavy bars  
 398 and one lifeline is continued from at the heavy bar.  
 399

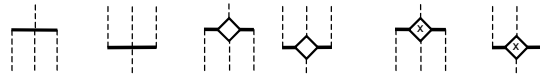
400 3.2.3.3 Presentation Options

401 None.

402

403 3.2.3.4 Example

404



405

406

407 See also *Section 3.2.1.4,*

408 *Example.*

409

410 3.2.3.5 Mapping

411 See Section 3.2.1.5, *Mapping*.

412

### 413 **3.2.4 Threads of Interaction**

414 The sending of messages can be done either in parallel or as a decision between different communicative acts.  
415 Receiving different communicative acts usually results in different behaviour and different answers, that is, the  
416 behaviour of an AgentRole depends on the received message.

417

418 Adapted from [OMGuml], section 7.4.

419

#### 420 3.2.4.1 Semantics

421 Since the behaviour of an AgentRole depends on the incoming message and different communicative acts are allowed  
422 as an answer to a communicative act, the thread of interaction, that is, the processing of incoming messages, has to be  
423 split up into different threads of interaction. The lifeline of an AgentRole is split and the thread of interaction defines the  
424 reaction to received messages.

425

426 The thread of interaction shows the period during which an AgentRole is performing some task as a reaction to an  
427 incoming message. It represents only the duration of the action in time, but not the control relationship between the  
428 sender of the message and the receiver. A thread of interaction is always associated with the lifeline of an AgentRole.  
429 Note we do not mean a physical thread in this context. The specification is independent of the implementation using  
430 threads or other mechanisms.

431

#### 432 3.2.4.2 Notation

433 A thread of interaction is shown as a tall thin rectangle whose top is aligned with its initiation time and whose bottom is  
434 aligned with its completion time. It is drawn over the lifeline of an AgentRole. The task being performed may be labelled  
435 as text next to the thread of interaction or in the left margin, depending on the style; alternately the incoming message  
436 may indicate the task, in which case it may be omitted on the thread of interaction itself.

437

438 If the distinction between the reaction to different incoming communicative acts can be neglected, the entire lifeline may  
439 be shown as one thread of interaction.

440

#### 441 3.2.4.3 Presentation Options

- 442 • Variation

443 A thread of interaction may can take only a short period of time. To simplify diagrams, for compactification reasons  
444 of the diagram the parallelism and the decisions can be abbreviated by omitting the splitting/merging and putting the  
445 different threads of interaction one after another on the lifeline.

446

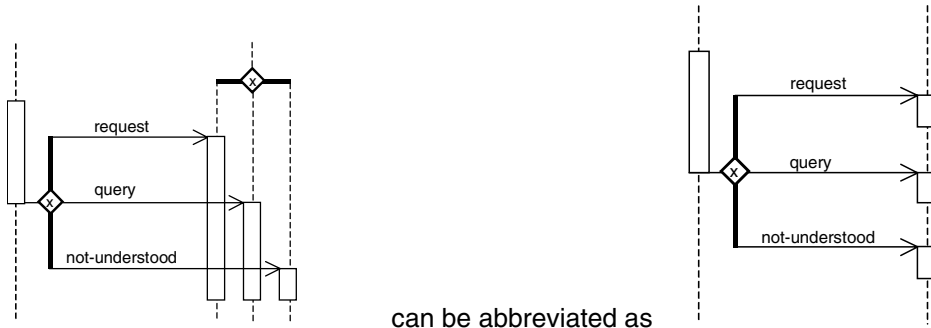
- 447 • Variation

448 A break of the rectangle describes a change in the thread of interaction.

449

#### 450 3.2.4.4 Example

451



452  
453

454 3.2.4.5 Mapping  
455 See Section 3.2.1.5, Mapping.  
456

457 **3.2.5 Messages**

458 The main issue of protocols is the definition of communicative patterns, especially the sending of messages from one  
459 AgentRole to another. This sending can be done in different ways, for example, with different cardinalities, depending  
460 on some constraints or using AND/OR parallelism and decisions.

461  
462 Adapted from [OMGuml], section 7.5 and section 8.9.  
463

464 3.2.5.1 Semantics

465 A message or sending of a communicative act is a communication from one AgentRole to another that conveys  
466 information with the expectation that the receiving AgentRole would react according to the semantics of the  
467 communicative act. The specification of the protocol says nothing about the implementation of the processing of the  
468 communicative act.  
469

470 3.2.5.2 Notation

471 A message sending is shown as a horizontal solid arrow from a thread of interaction of an AgentRole to another thread  
472 of interaction of another AgentRole. In case of a message is sent from an AgentRole to itself (note that there might be  
473 many individual agents in an AgentRole), the arrow may start and end on the same lifeline or thread of interaction. Such  
474 a nested thread of interaction is denoted by a thread of interaction that is shifted a little bit to the right side in the actual  
475 thread of interaction.  
476

477 Nested protocols are represented by a separate thread of interaction, along with an arrow initiating the nested protocol  
478 and one or more arrows terminating the nested protocol. The initiating arrow is drawn starting with a small solid filled  
479 circle, and a terminating arrow ends with a circle surrounding a small solid filled circle.  
480

481 Each arrow is labelled with a message label that has the following syntax:

482  
483 *predecessor guard-condition sequence-expression communicative-act argument-list*  
484

485 Where:

- 487 • *predecessor*  
488 This consists of at most one natural number followed by a slash (/) defining the sequencing of a parallel construct  
489 or the number of the input and output parameter in the context of Section 3.2.9, *Threads of Interaction and*  
490 *Messages Inside and Outside Nested Protocols*, xxxx. The clause is omitted if the list is empty.  
491
- 492 • *guard-condition*  
493 This is a usual UML guard condition, with the semantics, that the message is sent iff the guard is true. The guard  
494 conditions must be defined on the behavioural semantics of the agents, that is, the internal state of the agent must  
495 not be used in the definition of the guard.

496

497

- *sequence-expression*

498

This is a constraint, especially with  $n..m$  which denotes that the message is sent  $n$  up to  $m$  times with  $n \in \mathbb{N}$ ,  $m \in \mathbb{N} \cup \{*\}$ <sup>1</sup>. The keyword `broadcast` denotes the broadcast sending of a message; the keyword `deadline` denotes a string that is encoded according to [ISO8601] and represents the deadline by which a message is useful.

500

501

502

- *communicative-act*

503

This is either the name, that is, a string representation with an underlined name, of a concrete communicative act instance, the name of a concrete communicative act instance and its associated communicative act, written as *name:communicative-act* or only the name of the communicative act, for example, `inform`.

504

505

506

507

- *argument-list*

508

This is a comma-separated list of arguments enclosed in parentheses. The parentheses can be omitted if the list is empty. Each argument is an expression in pseudo-code or an appropriate programming language or an OCL expression.

509

510

511

512

### 3.2.5.3 Presentation Options

513

- Variation: Cardinality

514

The cardinality of a message (for example,  $n$  senders and  $m$  receivers of a message) is shown by writing natural numbers at the beginning and at the end of the arrow. This variation is only allowed if the sender and/or receiver is not an instance of an agent.

515

516

517

518

- Variation: Asynchronous Message Passing

519

An asynchronous message is drawn with a stick arrowhead ( $\longrightarrow$ ). It shows the sending of the message without yielding control.

520

521

522

- Variation: Synchronous Message Passing

523

A synchronous message is drawn with a filled solid arrowhead ( $\longrightarrow$ ). It shows the yielding of the thread of control (wait semantics), that is, the AgentRole waits until an answer message is received and nothing else can be processed.

524

525

526

527

- Variation: Time intensive Message Passing

528

Normally message arrows are drawn horizontally. This indicates the duration required to send the message is atomic, that is, it is brief compared to the granularity of the interaction and that nothing else can take place during the message transmission. That is the correct assumption within many computers. If the messages requires some time to arrive for mobile communication, for example, during which something else can occur then the message arrow may be slanted downward so that the arrowhead is below the arrow tail ( $\searrow$ ).

529

530

531

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534

- Variation: Repetition

535

The repetition of parts of a PD is represented by an arrow or one of its variations usually marked by some guards or constraints ending at a thread of interaction which is according to the time axis before or after the actual time point. Note, that in this case the time ordering on the PDs is violated.

536

537

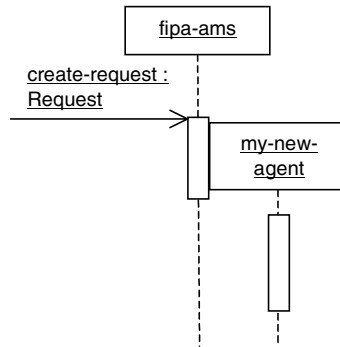
538

539

### 3.2.5.4 Example

540

<sup>1</sup> The asterisk represents repetition an arbitrary number of times.



541  
542

543 3.2.5.5 Mapping  
544 See Section 3.2.1.5, Mapping.  
545

546 **3.2.6 Complex Messages**

547 Besides the already presented kinds of messages, more complex messages can be used.  
548

549 3.2.6.1 Semantics

550 A complex message may be the parallel sending of messages or exclusively sending of exactly one message out of a  
551 set of different messages.  
552

553 3.2.6.2 Notation

554 Three kinds of complex messages are distinguished. All three complex messages substitute an arrow from one thread  
555 of interaction to another thread of interaction by an arrow starting at one thread of interaction ending either:

- 556 • at a heavy bar (for AND parallelism),
- 557 • at a heavy bar with a non-filled diamond (for OR parallelism; inclusive-or), or,
- 558 • at a heavy bar with a non-filled diamond (for decisions; exclusive-or) with an "x" inside the diamond.

559 From these different kinds of heavy bars new arrows start in a right angle at the bar and end at possibly different  
560 threads of interaction, which are possibly combined in a parallel or decisional way.

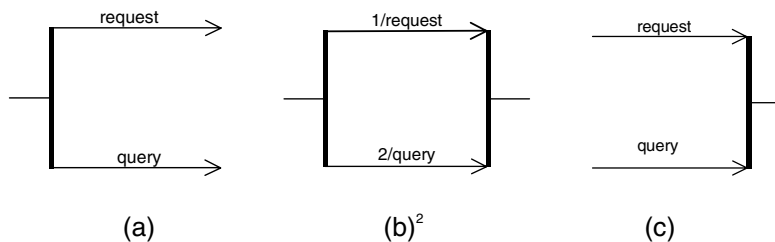
561 The merging of different messages is done in the analogous way, that is, the parallel horizontal message arrows stop at  
562 one vertical bar and one message arrow is continued from the heavy bar.

569 3.2.6.3 Presentation Options

570 None.  
571

572 3.2.6.4 Example

573



574  
575  
576

<sup>2</sup> This shows the restriction that request is sent before query.



577

578 3.2.6.5 Mapping

579 See *Section 3.2.1.5, Mapping*.

580

581 **3.2.7 Nested Protocols**582 Nested protocols are applied to specify complex systems in a modular way. Moreover the reuse of parts of a  
583 specification increases the readability of them.

584

585 A nested protocol can be defined and applied, if it is used several times within the same specification. In contrast to a  
586 parameterised protocol it is only an abbreviation for a fixed (part of a) protocol. Additionally nested protocols are used  
587 for the definition of repetition of a nested protocol according to guards and constraints.

588

589 Interleaved protocols show that between different agents a protocol is performed and more over in order to  
590 finish/proceed the protocol an agent has to perform another protocol with other agents.

591

## 592 3.2.7.1 Semantics

593 If the nested protocol is marked with some guard then the semantics of the nested protocol is the semantics of the  
594 protocol under the assumption that the guard evaluates to true, otherwise the semantics is the semantics of an empty  
595 protocol, that is, nothing is specified.

596

597 If the nested protocol is marked with some constraints the nested protocol is repeated as long as the constraints  
598 evaluate to true.

599

## 600 3.2.7.2 Notation

601 A nested protocol is shown as a rectangle with rounded corners. It may have one or more compartments. The  
602 compartments are optional. They are as follows:

603

604 • **Name compartment**605 This holds the (optional) name of the nested protocol as a string. Nested protocols without names are anonymous.  
606 It is undesirable to show the same named nested protocol twice in the same diagram except when they define the  
607 same nested protocol. The compartment is written in the upper left-hand corner of the rectangle.

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- **Guard compartment**  
This holds the (optional) guard of the nested protocol in the usual guard notation as [guard-condition]. Nested protocols without guards are equivalent with nested protocols with guard [true]. The guard compartment is written together with the constraint compartment in the lower left-hand corner of the rectangle.
- **Constraint compartment**  
This holds the (optional) constraint of the nested protocol in the usual constraint notation as {constraint-condition}. Nested protocols without constraints are equivalent with nested protocols with constraint {1}. The constraint compartment is written together with the guard compartment in the lower left-hand corner of the rectangle. In addition to the constraint condition used in UML the constraint  $n..m$  denotes that the nested protocol is repeated  $n$  up to  $m$  times with  $n \in \mathbb{N}$ ,  $m \in \mathbb{N} \cup \{*\}$ .

Another nested protocol can completely be drawn within the actual nested protocol denoting that the inner one is part of the outer one.

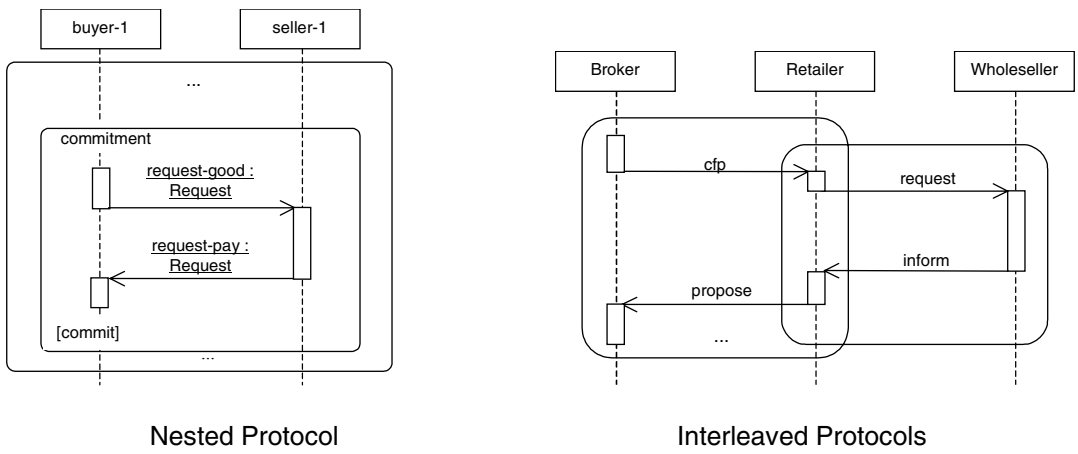
3.2.7.3 Presentation Options

The abbreviations  $n$  and  $*$  can be applied to denote  $n..n$  and  $0..*$ , respectively. Beyond the above usage of nested protocols for simple protocols, nested protocols can also be used applying parameterised protocols or instantiated parameterised protocols.

Another presentation option is the definition of interleaved protocols. For a nested protocol being part of another protocol the rectangle representing it has to be completely drawn within the other one. If interleaved protocols are defined, that is, during performing one IP another IP has to be processed, the rectangles are not drawn within each other.

3.2.7.4 Example

634  
635



636  
637  
638  
639

3.2.8 Complex Nested Protocols

Beyond the already presented nested and interleaved protocols, other kinds of complex nested protocols can also be defined.

3.2.8.1 Semantics

A complex nested protocol defines the parallel or decisional combination of nested protocols. It has to take into consideration the thread of interaction at the beginning and at the end of the complex nested protocol. Furthermore the starting and ending point within the nested protocols have to be considered.

648

## 649 3.2.8.2 Notation

650 Three kinds of complex nested protocols are distinguished. All three complex nested protocols are drawn over the  
 651 lifeline and threads of interaction within a PD. Each individual nested protocol in a complex nested protocol is  
 652 introduced by a line that is terminated by the rectangle of a nested protocol. These lines are connected either by:

653

654 • a heavy bar defining AND parallelism,

655

656 • a heavy bar with a non-filled diamond defining OR parallelism (inclusive-or), or,

657

658 • a heavy bar with a non-filled diamond defining decisions (exclusive-or) with an "x" inside the diamond.

659

660 The threads of interaction which are continued in the different nested protocols are drawn as usual.

661

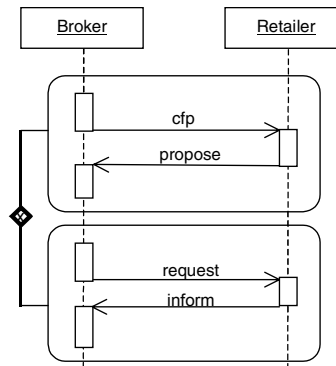
## 662 3.2.8.3 Presentation Options

663 None.

664

## 665 3.2.8.4 Example

666



667

668

## 669 3.2.8.5 Mapping

670 See *Section 3.2.1.5, Mapping*.

671

672 **3.2.9 Threads of Interaction and Messages Inside and Outside Nested Protocols**

673 Usually, nested protocols have input and output parameters, namely threads of interaction and messages.

674

## 675 3.2.9.1 Semantics

676 Nested protocols are defined in detail either within a PD where it is used or outside another PD. Threads of interaction  
 677 and messages inside and outside nested protocols define the input and output parameter for nested protocols.

678

679 The input parameters are the threads of interaction, which are carried on in the nested protocol, and the messages  
 680 which are received from other IPs.

681

682 The output parameters are on the one side the threads of interaction which are started within the nested protocol and  
 683 are carried on outside the nested protocol and the messages which are sent from inside the nested protocol to  
 684 AgentRoles not involved in the actual nested protocol. A message or thread of interaction ending at an input or starting  
 685 at an output parameter of a nested protocol describes the connection of a whole PD with the embedded nested  
 686 protocol.

687

## 688 3.2.9.2 Notation

689 The input and output parameters for the threads of interaction of a nested protocol are shown as a tall thin rectangle  
 690 (like a thread of interaction) which is drawn beyond the bounds of over the top line and bottom line of the nested  
 691 protocol rectangle, respectively.

692

693 The input and output message parameters are shown by arrows starting with a small solid filled circle, and arrows  
 694 ending at a circle surrounding a small solid filled circle (a bull's eye), respectively.

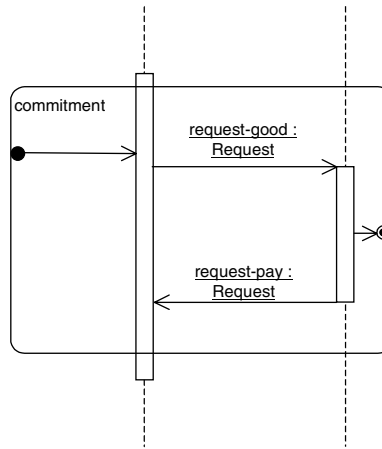
## 695 3.2.9.3 Presentation Options

696 The message arrows can be marked like usual messages. In this context, the predecessor denotes the number of the  
 697 input/output parameter. The input/output thread of interaction can be marked with natural numbers to define the exact  
 698 number of the parameter.

699

## 700 3.2.9.4 Example

701



702

703

## 704 3.2.9.5 Mapping

705 See Section 3.2.1.5, *Mapping*.

706

707 **3.2.10 Parameterised Protocols**

708 Adapted from [OMGuml], section 5.11.

709

## 710 3.2.10.1 Semantics

711 A parameterised protocol is the description for an IP with one or more unbound formal parameters. It therefore defines  
 712 a family of protocols, each protocol specified by binding the parameters to actual values. Typically the parameters  
 713 represent agent roles, constraints, instances of communicative acts and nested protocols. The parameters used within  
 714 the parameterised protocol are defined in terms of the formal parameters so they are become bound when the  
 715 parameterised protocol itself is bound to the actual values.

716

717 A parameterised protocol is not a directly-usable protocol because it has unbound parameters. Its parameters must be  
 718 bound to actual values to create a bound form that is a protocol.

719

## 720 3.2.10.2 Notation

721 A small dashed rectangle is superimposed on the upper right-hand corner of the rectangle with rounded corners  
 722 when defining a nested protocol. The dashed rectangle contains a parameter list of formal parameters for the protocol.  
 723 The list must not be empty, although it might be suppressed in the presentation. The name of the parameterised  
 724 protocol is written as a string in the upper left-hand corner.

725

726 The parameter list is a comma-separated list of arguments (formal parameters) defined by identifiers, like names for  
 727 AgentRoles, constraint expressions, communicative acts or nested protocol names.  
 728

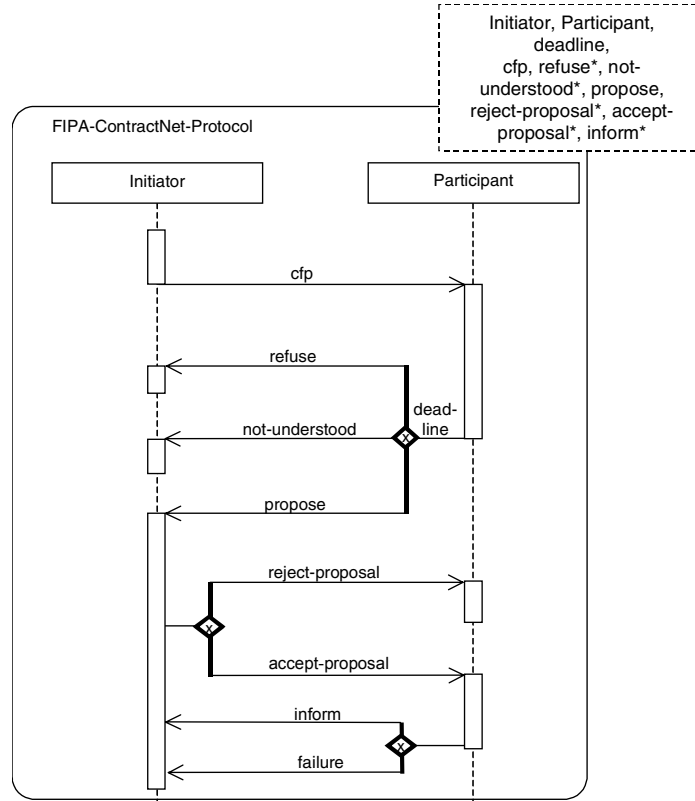
729 **3.2.10.3 Presentation Options**

730 The input/output parameters like messages and threads of interactions can be used and defined as for nested  
 731 protocols.

732 Communicative act can be marked with an asterisk in the parameter specification, denoting different kinds of messages  
 733 that can alternatively be sent in this context.  
 734

735 **3.2.10.4 Example**

736



737  
 738

739 **3.2.10.5 Mapping**

740 See Section 3.2.1.5, *Mapping*.

741

742 **3.2.10.6 Comment**

743 Note the difference between interleaved, nested and parameterised protocols. An interleaved protocol is used to show  
 744 that during the execution of one protocol another one is started/performed. Nested protocols are used to show  
 745 repetitions of sub-protocols, identifying fixed sub-protocols, reference to a fixed sub-protocol, like asking the DF for  
 746 some information, or guarding a sub-protocol. Parameterised protocols are used to prepare patterns which can be  
 747 instantiated in different contexts and applications, for example, the FIPA Contract Net Protocol for appointment  
 748 scheduling and negotiation about some good which should be sold.  
 749

750 **3.2.11 Bound Elements**

751 Adapted from [OMGuml], section 5.12.

752

## 753 3.2.11.1 Semantics

754 A parameterised PD cannot be used directly in an ordinary interaction description, because it has free parameters that  
755 are not meaningful outside of a scope that declares the parameter. To be used, a formal parameter of a parameterised  
756 protocol must be bound to actual values. The actual value for each parameter is an expression defined within the scope  
757 of use. If the referencing scope is itself a parameterised protocol, then the parameters of the referencing parameterised  
758 protocol can be used as actual values in binding the referenced parameterised protocol, but the parameter names in the  
759 two templates cannot be assumed to correspond, because they have no scope outside of their respective templates.  
760 We can assume without loss of generality that the parameter names of the different parameterised protocols are  
761 different.  
762

## 763 3.2.11.2 Notation

764 A bound element is indicated in the name string of an element, as follows:

765 *parameterised-protocol-name* < *role-list*, *constraint-expression-list*, *value-list* >  
766

767

768 Where:

769

- 770 • *parameterised-protocol-name*

771 This is identical to the name of the parameterised protocol.

772

- 773 • *role-list*

774 This is a comma-delimited list of role labels. *constraint-expression-list* is a comma-delimited list of constraint terms.

775

- 776 • *value-list*

777 This is a comma-delimited non-empty list of pairs, separated by a colon consisting of a value expression and a  
778 communicative act. The communicative act is optional.

779

780 The number and types of the values must match the number and types of the parameterised protocol formal  
781 parameters for the parameterised protocol of the given name. The bound element name may be used anywhere that  
782 protocol of the parameterised kind could be used.  
783

## 784 3.2.11.3 Presentation Options

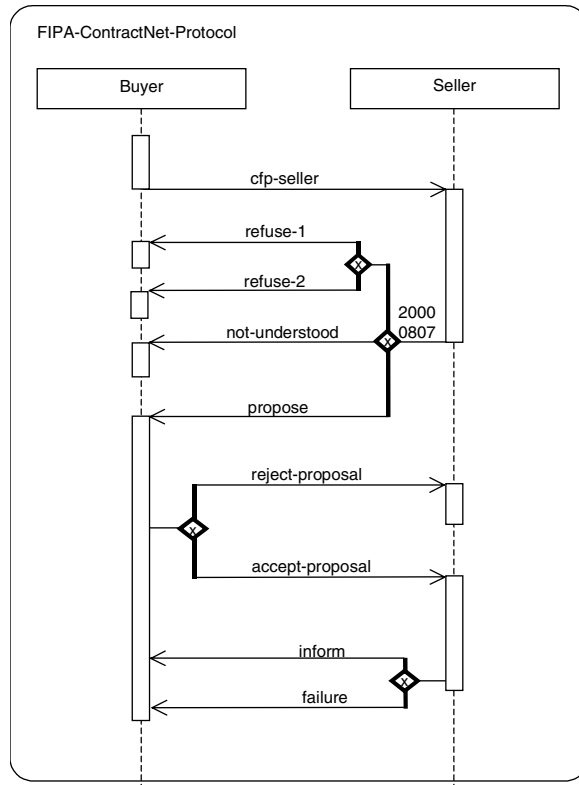
785 None.

786

```

787 3.2.11.4 Example
788
789 FIPA-ContractNet-Protocol
790 <
791   Buyer, Seller
792   20000807
793   cfp-seller : cfp,
794   refuse-1   : refuse,
795   refuse-2   : refuse, not-understood, propose, reject-proposal, accept-proposal,
796             : cancel, inform, failure
797 >
798

```



799  
800

801 3.2.11.5 Mapping

802 The use of the bound element syntax for the name of a symbol maps into a Binding dependency between the  
803 dependent ModelElement corresponding to the bound element symbol and the provider ModelElement whose name  
804 matches the name part of the bound element without the arguments. If the name does not match a parameterised  
805 protocol or if the number of arguments in the bound element does not match the number of formal parameters in the  
806 parameterised protocol, then the model is ill-formed. Each argument in the bound element maps into a ModelElement  
807 bearing a templateArgument association to the Namespace of the bound element. The Binding relationship bears the  
808 list of actual argument values.  
809

**810 4 References**

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825



826 **5 Informative Annex A — ChangeLog**

827 **5.1 2003/03/10 - version F by FIPA Architecture Board**

828 Entire document :           Deprecated

829