

# FOUNDATION FOR INTELLIGENT PHYSICAL AGENTS

## FIPA Interaction Protocol Library Specification

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21 industry of intelligent agents by openly developing specifications supporting interoperability among agents and agent-  
22 based applications. This occurs through open collaboration among its member organizations, which are companies and  
23 universities that are active in the field of agents. FIPA makes the results of its activities available to all interested parties  
24 and intends to contribute its results to the appropriate formal standards bodies.

25 The members of FIPA are individually and collectively committed to open competition in the development of agent-  
26 based applications, services and equipment. Membership in FIPA is open to any corporation and individual firm,  
27 partnership, governmental body or international organization without restriction. In particular, members are not bound to  
28 implement or use specific agent-based standards, recommendations and FIPA specifications by virtue of their  
29 participation in FIPA.

30 The FIPA specifications are developed through direct involvement of the FIPA membership. The status of a  
31 specification can be either Preliminary, Experimental, Standard, Deprecated or Obsolete. More detail about the process  
32 of specification may be found in the FIPA Procedures for Technical Work. A complete overview of the FIPA  
33 specifications and their current status may be found in the FIPA List of Specifications. A list of terms and abbreviations  
34 used in the FIPA specifications may be found in the FIPA Glossary.

35 FIPA is a non-profit association registered in Geneva, Switzerland. As of January 2000, the 56 members of FIPA  
36 represented 17 countries worldwide. Further information about FIPA as an organization, membership information, FIPA  
37 specifications and upcoming meetings may be found at <http://www.fipa.org/>.

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## 60 **1 Scope**

61 This document contains:

62

63 Specifications for structuring the FIPA Interaction Protocol Library (IPL) including a status of a FIPA Interaction  
64 Protocols (IPs), maintenance of the library and inclusion criteria for new IPs.

65

66 A description of how to understand and express IPs using AUML (Agent Unified Modeling Language).

67

68 The FIPA IP registry list.

69

70 This specification is primarily concerned with defining the structure of the FIPA IPL and the requirements for an IP to be  
71 included in the library.

72

## 72 2 Overview

73 This specification focuses on the organization, structure and status of the FIPA IPL and discusses the main  
74 requirements that an IP must satisfy in order to be FIPA-compliant. The objectives of standardising and defining a  
75 library of FIPA compliant IPs are:

- 76
- 77 To provide tested patterns of agent interaction that may be of use in various aspects of agent-based systems,
- 78
- 79 To facilitate the reuse of standard agent IPs, and,
- 80
- 81 To express IPs in a standard agent unified modelling language (AUML).
- 82

83 In the following, we present the basic principles of the FIPA IPL which help to guarantee that the IPL is stable, that there  
84 are public rules for the inclusion and maintenance of the IPL, and that developers seeking a public IPs can use the IPL.  
85

### 86 2.1 Interaction Protocols

87 Ongoing conversations between agents often fall into typical patterns. In such cases, certain message sequences are  
88 expected, and, at any point in the conversation, other messages are expected to follow. These typical patterns of  
89 message exchange are called *interaction protocols*. A designer of agent systems has the choice to make the agents  
90 sufficiently aware of the meanings of the messages and the goals, beliefs and other mental attitudes the agent  
91 possesses, and that the agent's planning process causes such IPs to arise spontaneously from the agents' choices.  
92 This, however, places a heavy burden of capability and complexity on the agent implementation, though it is not an  
93 uncommon choice in the agent community at large. An alternative, and very pragmatic, view is to pre-specify the IPs, so  
94 that a simpler agent implementation can nevertheless engage in meaningful conversation with other agents, simply by  
95 carefully following the known IP.

96

97 This section of the specification details a number of such IPs, in order to facilitate the effective inter-operation of simple  
98 and complex agents. No claim is made that this is an exhaustive list of useful IPs, nor that they are necessary for any  
99 given application. The IPs are given pre-defined names and the requirement for adhering to the specification is:

100

101 *A FIPA ACL-compliant agent need not implement any of the standard IPs, nor is it restricted from using other IP names.*  
102 *However, if one of the standard IP names is used, the agent must behave consistently with the IP specification given*  
103 *here.*

104

105 *These IPs are not intended to cover every desirable interaction type. Individual IPs do not address a number of*  
106 *common real-world issues in agent interaction, such as exception handling, messages arriving out of sequence,*  
107 *dropped messages, timeouts, cancellation, etc. Rather, the IPs defined in this specification set should be viewed as*  
108 *interaction patterns, to be elaborated according to the context of the individual application. This strategy means that*  
109 *adhering to the stated IPs does not necessarily ensure interoperability; further agreement between agents about the*  
110 *issues above is required to ensure interoperability in all cases.*

111

112 Note that, by their nature, agents can engage in multiple dialogues, perhaps with different agents, simultaneously. The  
113 term *conversation* is used to denote any particular instance of such a dialogue. Thus, the agent may be concurrently  
114 engaged in multiple conversations, with different agents, within different IPs. The remarks in this section, which refer to  
115 the receipt of messages under the control of a given IP, refer only to a particular conversation.  
116

### 117 2.2 Status of a FIPA-Compliant Interaction Protocol

118 The definition of an IP belonging to the FIPA IPL is normative, that is, if a given agent advertises that it employs an IP in  
119 the FIPA Content Language Library (see [FIPA00007]), then it must implement the IP as it is defined in the FIPA IPL.  
120 However, FIPA-compliant agents are not required to implement any of the FIPA IPL IPs themselves, except those  
121 required for Agent Management (see [FIPA00023]).  
122

123 By collecting IP definitions in a single, publicly accessible registry, the FIPA IPL facilitates the use of standardized IPs  
124 by agents developed in different contexts. It also provides a greater incentive to developers to make their IPs generally  
125 applicable.

126  
127 FIPA is responsible for maintaining a consistent list of IP names and for making them publicly available. In addition to  
128 the list of encoding schemes, each IP in the FIPA IPL may specify additional information, such as stability information,  
129 versioning, contact information, different support levels, etc.  
130

### 131 **2.3 FIPA Interaction Protocol Library Maintenance**

132 The most effective way of maintaining the FIPA IPL is through the use of the IPs themselves by different agent  
133 developers. This is the most direct way of discovering possible bugs, errors, inconsistencies, weaknesses, possible  
134 improvements, as well as capabilities, strengths, efficiency, etc.  
135

136 In order to collect feedback on the IPs in the library and to promote further research, FIPA encourages coordination  
137 among designers, agent developers and FIPA members.  
138

### 139 **2.4 Inclusion Criteria**

140 To populate the FIPA IPL, setting fundamental guidelines for the selection of specific IPs is necessary. The minimal  
141 criteria that must be satisfied for an IP to be FIPA compliant are:

142       A clear and accurate representation of the IP is provided using AUML protocol diagram,  
143

144       Substantial and clear documentation must be provided, and,  
145

146       The usefulness of a new IP should be made clear.  
147

148 FIPA does not enforce the use of any particular IP.  
149  
150  
151

## 151 3 AUML Sequence Diagrams for Interaction Protocol Specification

### 152 3.1 Introduction

153 During the 1970s, structured programming was the dominant approach to software development. Along with it, software  
 154 engineering technologies were developed in order to ease and formalize the system development lifecycle: from  
 155 planning, through analysis and design and finally to system construction, transition, and maintenance. In the 1980s,  
 156 object-oriented languages experienced a rise in popularity, bringing with it new concepts such as data encapsulation,  
 157 inheritance, messaging, and polymorphism. By the end of the 1980s and beginning of the 1990s, a jungle of modelling  
 158 approaches grew to support the object-oriented marketplace. To make sense of and unify these various approaches, an  
 159 Analysis and Design Task Force was established on 29 June 1995 within the Object Management Group (OMG). And  
 160 by November 1997, a de jure standard was adopted by the OMG members called the Unified Modelling Language  
 161 (UML - see [OMGuml]).

162  
 163 UML unifies and formalizes the methods of many object-oriented approaches, including analysis and design [Booch94  
 164 and Booch95], modelling [Rumbaugh91] and software engineering [Jacobson94]. It supports the following kinds of  
 165 models:

#### 166 **Static models**

167 Such as class and package diagrams describe the static semantics of data and messages. Within system  
 168 development, class diagrams are used in two different ways, for two different purposes. First, they can model a  
 169 problem domain conceptually and since they are conceptual in nature, they can be presented to the customers.  
 170 Second, class diagrams can model the implementation of classes which guides developers. At a general level, the  
 171 term *class* refers to the encapsulated unit and at the conceptual level, models types and their associations; the  
 172 implementation level models implementation classes. While both can be more generally thought of as classes, their  
 173 usage as concepts and implementation notions is important both in purpose and semantics. Package diagrams  
 174 group classes in conceptual packages for presentation and consideration. (Physical aggregations of classes are  
 175 called *components* that are in the implementation model family, mentioned below.)

#### 177 **Dynamic models**

178 These include interaction diagrams (that is, sequence and collaboration diagrams), state charts and activity  
 179 diagrams.

#### 181 **Use cases**

182 The specification of actions that a system or class can perform by interacting with outside actors. They are  
 183 commonly used to describe how a customer communicates with a software product.

#### 185 **Implementation models**

186 These describe the component distribution on different platforms, such as component models and deployment  
 187 diagrams

#### 189 **Object Constraint Language (OCL)**

190 This is a simple formal language to express more semantics within an UML specification. It can be used to define  
 191 constraints on the model, invariant, pre- and post-conditions of operations and navigation paths within an object net.

192  
 193  
 194 For modelling agents and agent-based systems, UML is insufficient. Compared to objects, agents are active because  
 195 they act for reasons that emerge from themselves. The activity of agents is based on their internal states, which include  
 196 goals and conditions that guide the execution of defined tasks. While objects need control from outside to execute their  
 197 methods, agents know the conditions and intended effects of their actions and hence take responsibility for their needs.  
 198 Furthermore, agents do not only act solely but together with other agents. Multi-agent systems can often resemble a  
 199 social community of interdependent members that act individually.

200  
 201 However, no sufficient specification formalism exists yet for agent-based system development. To employ agent-based  
 202 programming, a specification technique must support the whole software engineering process—from planning, through  
 203 analysis and design, and finally to system construction, transition, and maintenance.

204 A proposal for a full life-cycle specification of agent-based system development is beyond the scope of this  
205 specification. Here, we suggest a subset of an agent-based extension to the standard UML, called AUML, for the  
206 specification of agent interaction protocols (AIPs).

207  
208 It has to be distinguished between generic (or parameterised) protocols (and their instantiations) and domain-specific  
209 protocols.  
210

## 211 **3.2 Extending UML by Protocol Diagrams**

212 In the following, we provide sequence diagrams for AUML [Odell2000], an extension to UML. We refer to these  
213 sequence diagrams as *protocol diagrams* (PDs) which show well-defined interactions among agents. Note that we do  
214 not define formal semantics for the communicative acts for AUML, but instead use the UML meta-model.  
215

### 216 **3.2.1 Protocol Diagrams**

217 Adapted from [OMGuml], section 3.59.  
218

#### 219 3.2.1.1 Semantics

220 A PD represents an interaction, which is a set of messages exchanged among different agent roles within a  
221 collaboration to effect a desired behaviour of other AgentRoles or agent instances.  
222

#### 223 3.2.1.2 Notation

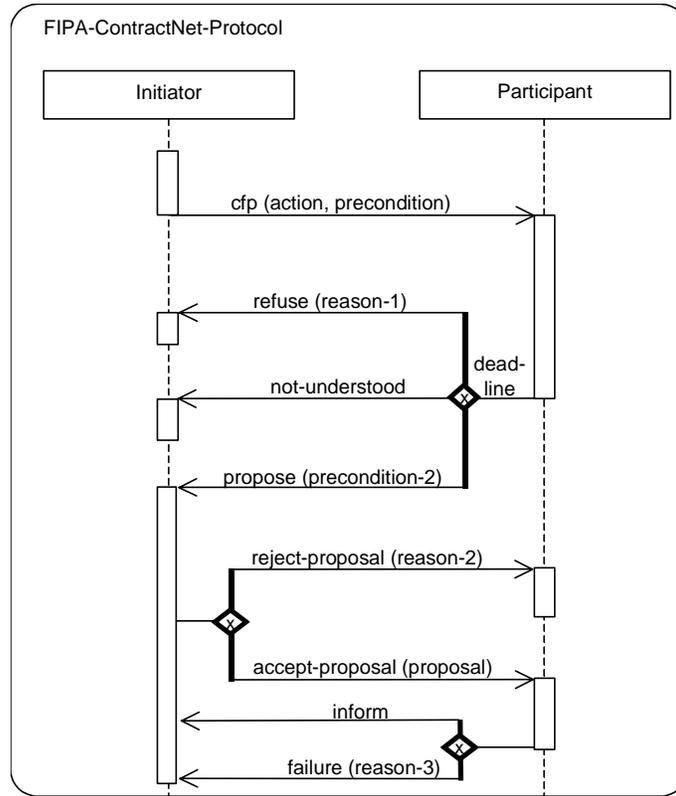
224 A PD has two dimensions: the vertical dimension represents time, the horizontal dimension represents different  
225 AgentRoles. Normally the time proceeds down the page and usually only time sequences are important, but in real-time  
226 applications the time axis could be an actual metric. There is no significance to the horizontal ordering of the  
227 AgentRoles.  
228

#### 229 3.2.1.3 Presentation Options

230 The axes can be interchanged, so that time proceeds horizontally to the right and different AgentRoles are shown as  
231 horizontal lines.  
232

233 Various labels (such as timing marks, generated goals depending on the received message, etc.) can be shown either  
234 in the margin or near the lifelines or messages that they label.  
235  
236

236 3.2.1.4 Example  
237



238  
239

240 3.2.1.5 Mapping

241 The mapping is analogous defined as for sequence diagrams (see [OMGuml]).

242

243 A PD maps like a sequence diagram into an Interaction and an underlying Collaboration. An Interaction specifies a  
244 sequence of communications; it contains a collection of partially ordered Messages, each specifying a communication  
245 between a sender role and a receiver role. Collections of agent roles that conform to the ClassifierRoles in the  
246 Collaboration owning the Interaction, communicate by dispatching Stimuli that conform to the Messages in the  
247 Interaction. An AgentRole maps into a ClassifierRole. A PD presents one collection of AgentRoles and arrows mapping  
248 to AgentRole and Stimuli that conform to the ClassifierRoles and Messages in the Interaction and its Collaboration.

249

250 In a PD, each AgentRole box with its lifeline maps into an agent role that conforms to a ClassifierRole in the  
251 Collaboration. The name fields maps into the name of the agent, the role name into the Classifier's name and the class  
252 field maps into the names of the Classifier (in this case AgentClasses being Classes) being the base Classifiers of the  
253 ClassifierRole. The splitting of lifelines has a concurrency Association defining either AND/OR parallelism or decision  
254 Association denoting threads (<<thread>>). The associations among roles are not shown on the sequence diagram  
255 since they must be obtained in the model from a complementary collaboration diagram or other means. A message  
256 arrow maps into a Stimulus connected to two AgentRoles. the sender and receiver AgentRole. The Stimulus conforms  
257 to a Message between the ClassifierRoles corresponding to the two AgentRoles' lifelines that the arrow connects. The  
258 Link is used for the communication of the Stimulus and plays the role specified by the AssociationRole connected to the  
259 Message. Unless the correct Link can be determined from a complementary collaboration diagram or other means, the  
260 Stimulus is either not attached to a Link (not a complete model), or it is attached to an arbitrary Link or to a dummy Link  
261 between the Instances conforming to the AssociationRole implied by the two ClassifierRoles due to the lack of complete  
262 information. The name of the communicative act is mapped onto the behaviour associated by the action performing,  
263 requested information, information passing, negotiation or error handling connected to the Message. Different  
264 alternatives exist for showing the arguments of the Stimulus. If references to the actual Instances being passed as  
265 arguments are shown, these are mapped onto the arguments of the Stimulus. If the argument expressions are shown  
266 instead, these are mapped onto the Arguments of the action performing, requested information, information passing,

267 negotiation or error handling connected to the dispatching communicative act. Finally, if the types of the arguments are  
 268 shown together with the name of the communicative act, these are mapped onto the parameter types of the  
 269 communicative act. A timing label placed on the level of an arrow endpoint maps into the name of the corresponding  
 270 Message. A constraint or guard placed on the diagrams maps into a Constraint on the entire Interaction. The cardinality  
 271 label restricts the number of sending and receiving instances of agent roles accordingly to the numbers denoted at the  
 272 beginning (sender) and end (receiver) of the message.

273

274 An arrow with the arrowhead pointing to an AgentRole symbol within the frame of the diagram maps into a Stimulus  
 275 dispatched by a `CreateAction`, that is, the Stimulus conforms to a Message in the Interaction which is connected to  
 276 the `CreateAction`. The interpretation is that the AgentRole instance (not an arbitrary agent role, nor a set of  
 277 AgentRole instances) is created by dispatching the Stimulus, and the AgentRole instance conforms to the receiver role  
 278 specified in the Message. After the creation of the AgentRole instance, it may immediately start interacting with other  
 279 AgentRoles. This implies that the creation of the AgentRole dispatches these Stimuli. If an AgentRole instance  
 280 termination symbol ("X") is the target of the of an arrow, the arrow maps into a Stimulus which will cause the receiving  
 281 agent role instance to be removed. The Stimulus conforms to a Message in the Interaction with a `DestroyAction`  
 282 attached to the Message or the agent instance terminates itself.

283

284 The order of the arrows in the diagram map onto a pair of associations between the Messages that correspond to the  
 285 Stimuli the arrows maps onto. A predecessor association is established between Messages corresponding to  
 286 successive arrow ends in the vertical sequence. In case of concurrent arrows preceding an arrow, the corresponding  
 287 Message has a collection of predecessors. In case of exclusive-or and inclusive-or arrows preceding an arrow the  
 288 corresponding message has one and at least one out of the collection of possible predecessors, respectively.  
 289 Moreover, each Message has an activator (thread of interaction) association to the Message corresponding to the  
 290 incoming arrow of the activation or pro-active sending of a message.

291

292 A nested protocol maps into a PD. The name compartment of a nested protocol maps into the name of the  
 293 Collaboration. The guard and constraint compartment maps into a constraint on the complete Interaction.

294

295 A complex nested protocol maps into a PD. The order of the messages within the protocol is defined according to the  
 296 combination of the complex nested protocol. The ordering of the messages in the nested protocol is the ordering of  
 297 these protocols. Depending on the combination the messages are sent in AND/OR parallelism or decision ordering.

298

### 299 3.2.2 AgentRoles

300 In the framework of agent oriented programming an agent satisfying a distinguished role behaves in a special way. In  
 301 contrast to this semantics *role* in UML is an instance focused term. Moreover the term *multi-object* does not fit to  
 302 describe AgentRoles but it is used to show operations that address the entire set, rather than a single object in it.  
 303 However, there is a communication with one instance of this multi-object. By *AgentRole* a set of agents satisfying  
 304 distinguished properties, interfaces or having a distinguished behaviour are meant.

305

306 UML distinguishes between:

307

308 multiple classifications where a retailer agent can act as well as a buyer as well as a seller agent, for example, and,

309

310 dynamic classification where an agent can change its classification during its existence.

311

312 Agents can perform various roles within one IP. Using a contract-net protocol, for example, between a buyer and a  
 313 seller of a product, the initiator of the protocol has the role of a buyer and the participant has the role of a seller. But the  
 314 seller can as well be a retailer agent, which acts as a seller in one case and as a buyer in another case, i.e. agents  
 315 satisfying a distinguished role can support multiple classification and dynamic classification. Another example can be  
 316 found in [FIPA00023] which defines the functionality of the Directory Facilitator (DF) and the Agent Management  
 317 System (AMS). These functionalities can be implemented by different agents, but the functionality of the DF and AMS  
 318 can also be integrated into one agent.

319

320 An AgentRole can be seen as a set of agents satisfying a distinguished interface, service description or behaviour.  
 321 Therefore the implementation of an agent can satisfy different roles.

322  
323  
324  
325

Note that within FIPA the notion of role is not used, but in the framework of specifying agent-based systems this notion is appropriate.

326  
327  
328  
329  
330

### 3.2.2.1 Semantics

An AgentRole describes two different variations that can apply within a protocol definition. A protocol can be defined between different concrete agent instances or a set of agents satisfying a distinguished role and/or class. An agent satisfying a distinguished AgentRole and class is called agent of a given AgentRole and class, respectively.

331  
332  
333

### 3.2.2.2 Notation

An AgentRole is shown as a rectangle that is filled with some string of one of the following forms:

334  
335  
336

#### **role**

This denotes arbitrary agents satisfying the distinguished AgentRole.

337  
338  
339

#### **instance / role-1 ... role-*n***

This denotes a distinguished agent instance that satisfies the AgentRoles 1-*n* where  $n > 0$ .

340  
341  
342

#### **instance / role-1 ... role-*n* : class**

This denotes a distinguished agent instance that satisfied the AgentRoles 1-*n* where  $n > 0$  and class it belongs to.

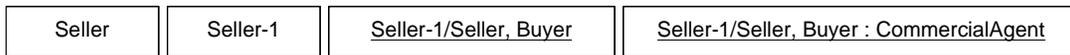
343  
344  
345  
346

### 3.2.2.3 Presentation Options

The second case can be abbreviated as instance if *n* equals zero, that is, a concrete agent is meant independent of the role(s) it satisfies and class it belongs to.

347  
348

### 3.2.2.4 Example



349  
350

351  
352  
353

### 3.2.2.5 Mapping

See *Section 3.2.1.5, Mapping*.

354  
355  
356  
357  
358

## 3.2.3 Agent Lifeline

The agent lifeline defines the time period when an agent exists. For example a user agent is created when a user logs on to the system and the user agent is destroyed when the user logs off. Another example is when an agent migrates from one machine to another.

359  
360  
361  
362  
363

### 3.2.3.1 Semantics

A PD defines the pattern of communication, that is, the steps in which the communicative acts are sent between agents of different AgentRoles. The agent lifeline describes the time period in which an agent of a given AgentRole exists. Only during this time period an agent can participate on a protocol.

364  
365  
366

The lifeline starts when the agent of a given AgentRole is created and ends when it is destroyed. The lifeline can be split in order to describe AND/OR parallelism and decisions and may merge together at some subsequent point.

367  
368  
369  
370  
371

### 3.2.3.2 Notation

An agent lifeline is shown as a vertical dashed line. The lifeline represents the existence of an agent of a given AgentRole at a particular time. If the agent is created or destroyed during the period of time shown on the PD, then its lifeline starts or stops at the appropriate point; otherwise it goes from the top of the diagram to the bottom. An AgentRole is drawn at the head of the lifeline. If an agent of a given AgentRole is created during the PD, then the

372 message that creates it is drawn with its arrowhead on the AgentRole. Note, that the AgentRole (see Section 3.2.3.4,  
 373 *Example*) that receives the message is responsible for the creation of the agent instance, that is, the arrowhead ends at  
 374 the dashed line of the AgentRole receiving the message and the AgentRole is fixed at the left-hand or right-hand side of  
 375 the lifeline or the thread of interaction. If an agent instance is destroyed during the PD, then its destruction is marked by  
 376 a large "X", either at the message that causes the destruction or (in the case of self destruction) at the final action of the  
 377 AgentRole. The termination is restricted to concrete instances of an agent role.

379 AgentRoles that exist when a protocol starts is shown at the top of the diagram (above the first message arrow). An  
 380 AgentRole that exists when the protocol finishes has its lifeline continued beyond the final arrow of the diagram.

382 The lifeline may split into two or more lifelines to show AND/OR parallelism and decisions. Each separate track  
 383 corresponds to a branch in the message flow. The lifelines may merge together at some subsequent point. The splitting  
 384 of the lifeline for:

386 AND parallelism starts at a horizontal heavy bar,

388 OR parallelism (inclusive-or) starts at a horizontal heavy bar with a non-filled diamond, and,

390 decision (exclusive-or) starts at a horizontal heavy bar with a non-filled diamond with "x" inside the diamond and is  
 391 continued with a set of parallel vertical lifelines connected to the heavy bar.

393 The merging is done the analogous way, that is, the parallel vertical lifelines stop at some of the horizontal heavy bars  
 394 and one lifeline is continued from at the heavy bar.

396 3.2.3.3 Presentation Options

397 None.

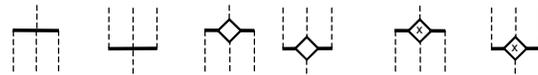
399 3.2.3.4 Example

400

401

402

403



also

Section

3.2.1.4,

404 *Example.*

405

406 3.2.3.5 Mapping

407 See Section 3.2.1.5, *Mapping*.

408

### 409 **3.2.4 Threads of Interaction**

410 The sending of messages can be done either in parallel or as a decision between different communicative acts.  
411 Receiving different communicative acts usually results in different behaviour and different answers, that is, the  
412 behaviour of an AgentRole depends on the received message.

413

414 Adapted from [OMGuml], section 7.4.

415

#### 416 3.2.4.1 Semantics

417 Since the behaviour of an AgentRole depends on the incoming message and different communicative acts are allowed  
418 as an answer to a communicative act, the thread of interaction, that is, the processing of incoming messages, has to be  
419 split up into different threads of interaction. The lifeline of an AgentRole is split and the thread of interaction defines the  
420 reaction to received messages.

421

422 The thread of interaction shows the period during which an AgentRole is performing some task as a reaction to an  
423 incoming message. It represents only the duration of the action in time, but not the control relationship between the  
424 sender of the message and the receiver. A thread of interaction is always associated with the lifeline of an AgentRole.  
425 Note we do not mean a physical thread in this context. The specification is independent of the implementation using  
426 threads or other mechanisms.

427

#### 428 3.2.4.2 Notation

429 A thread of interaction is shown as a tall thin rectangle whose top is aligned with its initiation time and whose bottom is  
430 aligned with its completion time. It is drawn over the lifeline of an AgentRole. The task being performed may be labelled  
431 as text next to the thread of interaction or in the left margin, depending on the style; alternately the incoming message  
432 may indicate the task, in which case it may be omitted on the thread of interaction itself.

433

434 If the distinction between the reaction to different incoming communicative acts can be neglected, the entire lifeline may  
435 be shown as one thread of interaction.

436

#### 437 3.2.4.3 Presentation Options

##### 438 Variation

439 A thread of interaction may can take only a short period of time. To simplify diagrams, for compactification reasons  
440 of the diagram the parallelism and the decisions can be abbreviated by omitting the splitting/merging and putting the  
441 different threads of interaction one after another on the lifeline.

442

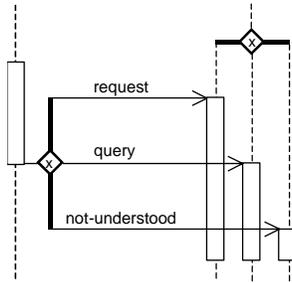
##### 443 Variation

444 A break of the rectangle describes a change in the thread of interaction.

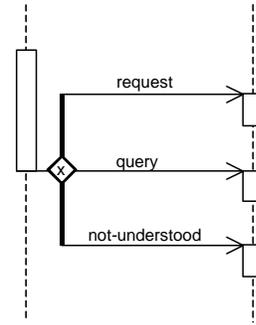
445

#### 446 3.2.4.4 Example

447



can be abbreviated as



448  
449

450 3.2.4.5 Mapping  
451 See Section 3.2.1.5, Mapping.  
452

453 **3.2.5 Messages**

454 The main issue of protocols is the definition of communicative patterns, especially the sending of messages from one  
455 AgentRole to another. This sending can be done in different ways, for example, with different cardinalities, depending  
456 on some constraints or using AND/OR parallelism and decisions.  
457

458 Adapted from [OMGuml], section 7.5 and section 8.9.  
459

460 3.2.5.1 Semantics

461 A message or sending of a communicative act is a communication from one AgentRole to another that conveys  
462 information with the expectation that the receiving AgentRole would react according to the semantics of the  
463 communicative act. The specification of the protocol says nothing about the implementation of the processing of the  
464 communicative act.  
465

466 3.2.5.2 Notation

467 A message sending is shown as a horizontal solid arrow from a thread of interaction of an AgentRole to another thread  
468 of interaction of another AgentRole. In case of a message is sent from an AgentRole to itself (note that there might be  
469 many individual agents in an AgentRole), the arrow may start and end on the same lifeline or thread of interaction. Such  
470 a nested thread of interaction is denoted by a thread of interaction that is shifted a little bit to the right side in the actual  
471 thread of interaction.  
472

473 Nested protocols are represented by a separate thread of interaction, along with an arrow initiating the nested protocol  
474 and one or more arrows terminating the nested protocol. The initiating arrow is drawn starting with a small solid filled  
475 circle, and a terminating arrow ends with a circle surrounding a small solid filled circle.  
476

477 Each arrow is labelled with a message label that has the following syntax:

478 *predecessor guard-condition sequence-expression communicative-act argument-list*  
479

480 Where:

481 *predecessor*

482 This consists of at most one natural number followed by a slash (/) defining the sequencing of a parallel construct  
483 or the number of the input and output parameter in the context of Section 3.2.9, *Threads of Interaction and*  
484 *Messages Inside and Outside Nested Protocols*, xxxx. The clause is omitted if the list is empty.  
485  
486

487 *guard-condition*

488 This is a usual UML guard condition, with the semantics, that the message is sent iff the guard is true. The guard  
489 conditions must be defined on the behavioural semantics of the agents, that is, the internal state of the agent must  
490 not be used in the definition of the guard.  
491

492  
493  
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501  
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505  
506  
507

*sequence-expression*

This is a constraint, especially with  $n..m$  which denotes that the message is sent  $n$  up to  $m$  times with  $n$  ,  $m$   $\{*\}$ <sup>1</sup>. The keyword `broadcast` denotes the broadcast sending of a message; the keyword `deadline` denotes a string that is encoded according to [ISO8601] and represents the deadline by which a message is useful.

*communicative-act*

This is either the name, that is, a string representation with an underlined name, of a concrete communicative act instance, the name of a concrete communicative act instance and its associated communicative act, written as *name:communicative-act* or only the name of the communicative act, for example, `inform`.

*argument-list*

This is a comma-separated list of arguments enclosed in parentheses. The parentheses can be omitted if the list is empty. Each argument is an expression in pseudo-code or an appropriate programming language or an OCL expression.

### 3.2.5.3 Presentation Options

Variation: Cardinality

The cardinality of a message (for example,  $n$  senders and  $m$  receivers of a message) is shown by writing natural numbers at the beginning and at the end of the arrow. This variation is only allowed if the sender and/or receiver is not an instance of an agent.

Variation: Asynchronous Message Passing

An asynchronous message is drawn with a stick arrowhead ( $\longrightarrow$ ). It shows the sending of the message without yielding control.

Variation: Synchronous Message Passing

A synchronous message is drawn with a filled solid arrowhead ( $\longrightarrow$ ). It shows the yielding of the thread of control (wait semantics), that is, the AgentRole waits until an answer message is received and nothing else can be processed.

Variation: Time intensive Message Passing

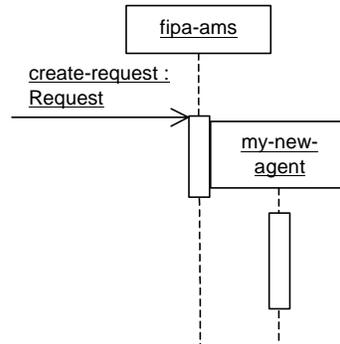
Normally message arrows are drawn horizontally. This indicates the duration required to send the message is atomic, that is, it is brief compared to the granularity of the interaction and that nothing else can take place during the message transmission. That is the correct assumption within many computers. If the messages requires some time to arrive for mobile communication, for example, during which something else can occur then the message arrow may be slanted downward so that the arrowhead is below the arrow tail ( $\searrow$ ).

Variation: Repetition

The repetition of parts of a PD is represented by an arrow or one of its variations usually marked by some guards or constraints ending at a thread of interaction which is according to the time axis before or after the actual time point. Note, that in this case the time ordering on the PDs is violated.

535 3.2.5.4 Example  
536

<sup>1</sup> The asterisk represents repetition an arbitrary number of times.



537  
538

539 3.2.5.5 Mapping  
540 See Section 3.2.1.5, Mapping.  
541

542 **3.2.6 Complex Messages**

543 Besides the already presented kinds of messages, more complex messages can be used.  
544

545 3.2.6.1 Semantics

546 A complex message may be the parallel sending of messages or exclusively sending of exactly one message out of a  
547 set of different messages.  
548

549 3.2.6.2 Notation

550 Three kinds of complex messages are distinguished. All three complex messages substitute an arrow from one thread  
551 of interaction to another thread of interaction by an arrow starting at one thread of interaction ending either:

- 552 at a heavy bar (for AND parallelism),
- 553 at a heavy bar with a non-filled diamond (for OR parallelism; inclusive-or), or,
- 554 at a heavy bar with a non-filled diamond (for decisions; exclusive-or) with an "x" inside the diamond.

555 From these different kinds of heavy bars new arrows start in a right angle at the bar and end at possibly different  
556 threads of interaction, which are possibly combined in a parallel or decisional way.

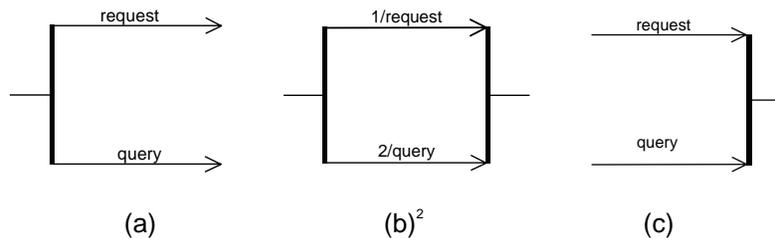
557 The merging of different messages is done in the analogous way, that is, the parallel horizontal message arrows stop at  
558 one vertical bar and one message arrow is continued from the heavy bar.

565 3.2.6.3 Presentation Options

566 None.  
567

568 3.2.6.4 Example

569



570  
571  
572

<sup>2</sup> This shows the restriction that `request` is sent before `query`.

573

574 3.2.6.5 Mapping

575 See Section 3.2.1.5, *Mapping*.

576

577 **3.2.7 Nested Protocols**

578 Nested protocols are applied to specify complex systems in a modular way. Moreover the reuse of parts of a  
579 specification increases the readability of them.

580

581 A nested protocol can be defined and applied, if it is used several times within the same specification. In contrast to a  
582 parameterised protocol it is only an abbreviation for a fixed (part of a) protocol. Additionally nested protocols are used  
583 for the definition of repetition of a nested protocol according to guards and constraints.

584

585 Interleaved protocols show that between different agents a protocol is performed and more over in order to  
586 finish/proceed the protocol an agent has to perform another protocol with other agents.

587

## 588 3.2.7.1 Semantics

589 If the nested protocol is marked with some guard then the semantics of the nested protocol is the semantics of the  
590 protocol under the assumption that the guard evaluates to true, otherwise the semantics is the semantics of an empty  
591 protocol, that is, nothing is specified.

592

593 If the nested protocol is marked with some constraints the nested protocol is repeated as long as the constraints  
594 evaluate to true.

595

## 596 3.2.7.2 Notation

597 A nested protocol is shown as a rectangle with rounded corners. It may have one or more compartments. The  
598 compartments are optional. They are as follows:

599

600 **Name compartment**

601 This holds the (optional) name of the nested protocol as a string. Nested protocols without names are anonymous.  
602 It is undesirable to show the same named nested protocol twice in the same diagram except when they define the  
603 same nested protocol. The compartment is written in the upper left-hand corner of the rectangle.

604

605

**Guard compartment**

This holds the (optional) guard of the nested protocol in the usual guard notation as [guard-condition]. Nested protocols without guards are equivalent with nested protocols with guard [true]. The guard compartment is written together with the constraint compartment in the lower left-hand corner of the rectangle.

**Constraint compartment**

This holds the (optional) constraint of the nested protocol in the usual constraint notation as {constraint-condition}. Nested protocols without constraints are equivalent with nested protocols with constraint {1}. The constraint compartment is written together with the guard compartment in the lower left-hand corner of the rectangle. In addition to the constraint condition used in UML the constraint  $n..m$  denotes that the nested protocol is repeated  $n$  up to  $m$  times with  $n = 1, m = *$ .

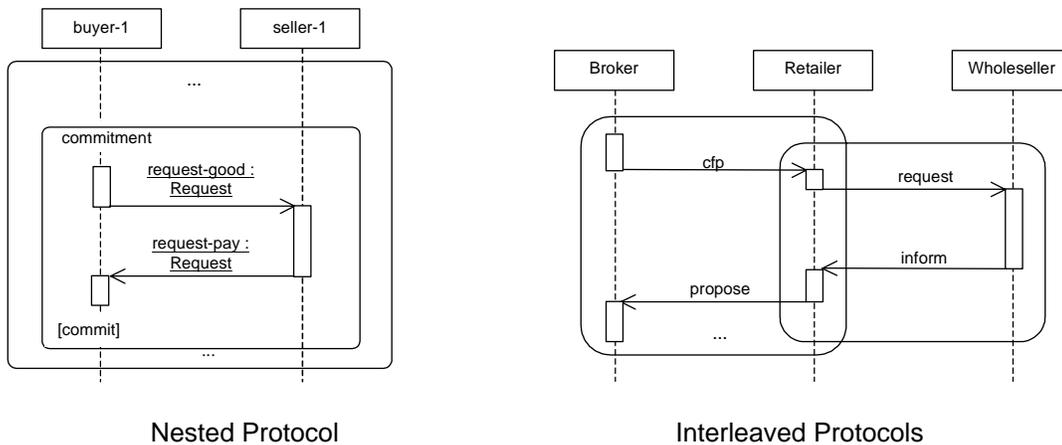
Another nested protocol can completely be drawn within the actual nested protocol denoting that the inner one is part of the outer one.

**3.2.7.3 Presentation Options**

The abbreviations  $n$  and  $*$  can be applied to denote  $n..n$  and  $0..*$ , respectively. Beyond the above usage of nested protocols for simple protocols, nested protocols can also be used applying parameterised protocols or instantiated parameterised protocols.

Another presentation option is the definition of interleaved protocols. For a nested protocol being part of another protocol the rectangle representing it has to be completely drawn within the other one. If interleaved protocols are defined, that is, during performing one IP another IP has to be processed, the rectangles are not drawn within each other.

**3.2.7.4 Example**



**3.2.8 Complex Nested Protocols**

Beyond the already presented nested and interleaved protocols, other kinds of complex nested protocols can also be defined.

**3.2.8.1 Semantics**

A complex nested protocol defines the parallel or decisional combination of nested protocols. It has to take into consideration the thread of interaction at the beginning and at the end of the complex nested protocol. Furthermore the starting and ending point within the nested protocols have to be considered.

## 645 3.2.8.2 Notation

646 Three kinds of complex nested protocols are distinguished. All three complex nested protocols are drawn over the  
 647 lifeline and threads of interaction within a PD. Each individual nested protocol in a complex nested protocol is  
 648 introduced by a line that is terminated by the rectangle of a nested protocol. These lines are connected either by:

649

650 a heavy bar defining AND parallelism,

651

652 a heavy bar with a non-filled diamond defining OR parallelism (inclusive-or), or,

653

654 a heavy bar with a non-filled diamond defining decisions (exclusive-or) with an "x" inside the diamond.

655

656 The threads of interaction which are continued in the different nested protocols are drawn as usual.

657

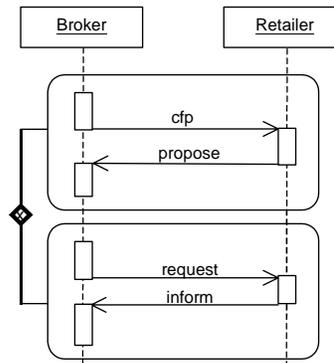
## 658 3.2.8.3 Presentation Options

659 None.

660

## 661 3.2.8.4 Example

662



663

664

## 665 3.2.8.5 Mapping

666 See *Section 3.2.1.5, Mapping*.

667

668 **3.2.9 Threads of Interaction and Messages Inside and Outside Nested Protocols**

669 Usually, nested protocols have input and output parameters, namely threads of interaction and messages.

670

## 671 3.2.9.1 Semantics

672 Nested protocols are defined in detail either within a PD where it is used or outside another PD. Threads of interaction  
 673 and messages inside and outside nested protocols define the input and output parameter for nested protocols.

674

675 The input parameters are the threads of interaction, which are carried on in the nested protocol, and the messages  
 676 which are received from other IPs.

677

678 The output parameters are on the one side the threads of interaction which are started within the nested protocol and  
 679 are carried on outside the nested protocol and the messages which are sent from inside the nested protocol to  
 680 AgentRoles not involved in the actual nested protocol. A message or thread of interaction ending at an input or starting  
 681 at an output parameter of a nested protocol describes the connection of a whole PD with the embedded nested  
 682 protocol.

683

## 684 3.2.9.2 Notation

685 The input and output parameters for the threads of interaction of a nested protocol are shown as a tall thin rectangle  
 686 (like a thread of interaction) which is drawn beyond the bounds of over the top line and bottom line of the nested  
 687 protocol rectangle, respectively.

688

689 The input and output message parameters are shown by arrows starting with a small solid filled circle, and arrows  
 690 ending at a circle surrounding a small solid filled circle (a bull's eye), respectively.

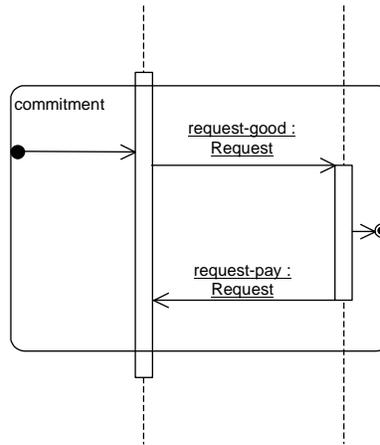
## 691 3.2.9.3 Presentation Options

692 The message arrows can be marked like usual messages. In this context, the predecessor denotes the number of the  
 693 input/output parameter. The input/output thread of interaction can be marked with natural numbers to define the exact  
 694 number of the parameter.

695

## 696 3.2.9.4 Example

697



698

699

## 700 3.2.9.5 Mapping

701 See Section 3.2.1.5, *Mapping*.

702

703 **3.2.10 Parameterised Protocols**

704 Adapted from [OMGuml], section 5.11.

705

## 706 3.2.10.1 Semantics

707 A parameterised protocol is the description for an IP with one or more unbound formal parameters. It therefore defines  
 708 a family of protocols, each protocol specified by binding the parameters to actual values. Typically the parameters  
 709 represent agent roles, constraints, instances of communicative acts and nested protocols. The parameters used within  
 710 the parameterised protocol are defined in terms of the formal parameters so they are become bound when the  
 711 parameterised protocol itself is bound to the actual values.

712

713 A parameterised protocol is not a directly-usable protocol because it has unbound parameters. Its parameters must be  
 714 bound to actual values to create a bound form that is a protocol.

715

## 716 3.2.10.2 Notation

717 A small dashed rectangle is superimposed on the upper right-hand corner of the rectangle with rounded corners  
 718 when defining a nested protocol. The dashed rectangle contains a parameter list of formal parameters for the protocol.  
 719 The list must not be empty, although it might be suppressed in the presentation. The name of the parameterised  
 720 protocol is written as a string in the upper left-hand corner.

721

722 The parameter list is a comma-separated list of arguments (formal parameters) defined by identifiers, like names for  
 723 AgentRoles, constraint expressions, communicative acts or nested protocol names.  
 724

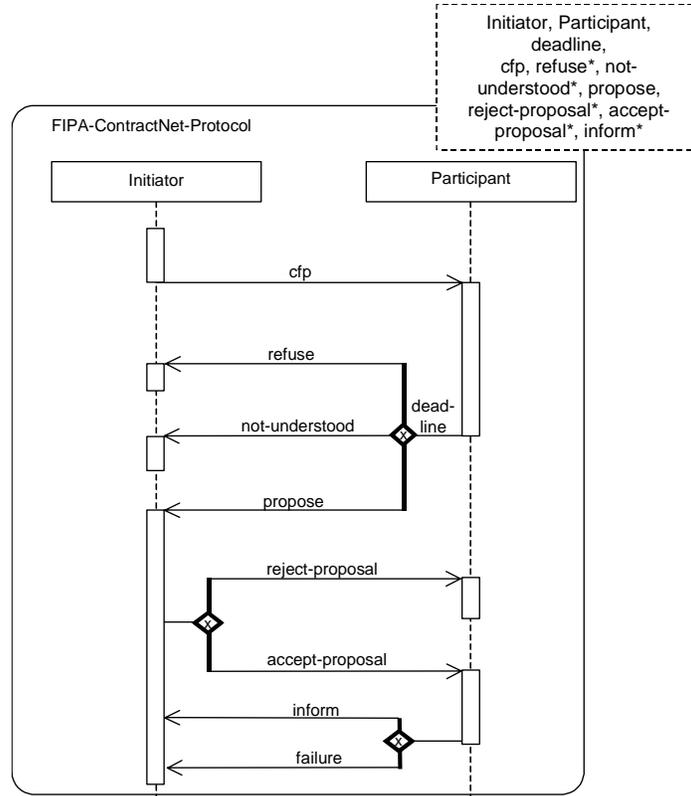
725 3.2.10.3 Presentation Options

726 The input/output parameters like messages and threads of interactions can be used and defined as for nested  
 727 protocols.

728 Communicative act can be marked with an asterisk in the parameter specification, denoting different kinds of messages  
 729 that can alternatively be sent in this context.  
 730

731 3.2.10.4 Example

732



733  
 734

735 3.2.10.5 Mapping

736 See Section 3.2.1.5, *Mapping*.

737

738 3.2.10.6 Comment

739 Note the difference between interleaved, nested and parameterised protocols. An interleaved protocol is used to show  
 740 that during the execution of one protocol another one is started/performed. Nested protocols are used to show  
 741 repetitions of sub-protocols, identifying fixed sub-protocols, reference to a fixed sub-protocol, like asking the DF for  
 742 some information, or guarding a sub-protocol. Parameterised protocols are used to prepare patterns which can be  
 743 instantiated in different contexts and applications, for example, the FIPA Contract Net Protocol for appointment  
 744 scheduling and negotiation about some good which should be sold.  
 745

746 3.2.11 Bound Elements

747 Adapted from [OMGuml], section 5.12.

748

## 749 3.2.11.1 Semantics

750 A parameterised PD cannot be used directly in an ordinary interaction description, because it has free parameters that  
751 are not meaningful outside of a scope that declares the parameter. To be used, a formal parameter of a parameterised  
752 protocol must be bound to actual values. The actual value for each parameter is an expression defined within the scope  
753 of use. If the referencing scope is itself a parameterised protocol, then the parameters of the referencing parameterised  
754 protocol can be used as actual values in binding the referenced parameterised protocol, but the parameter names in the  
755 two templates cannot be assumed to correspond, because they have no scope outside of their respective templates.  
756 We can assume without loss of generality that the parameter names of the different parameterised protocols are  
757 different.  
758

## 759 3.2.11.2 Notation

760 A bound element is indicated in the name string of an element, as follows:

761  
762 *parameterised-protocol-name* < *role-list*, *constraint-expression-list*, *value-list* >  
763

764 Where:

765  
766 *parameterised-protocol-name*  
767 This is identical to the name of the parameterised protocol.  
768

769 *role-list*  
770 This is a comma-delimited list of role labels. *constraint-expression-list* is a comma-delimited list of constraint terms.  
771

772 *value-list*  
773 This is a comma-delimited non-empty list of pairs, separated by a colon consisting of a value expression and a  
774 communicative act. The communicative act is optional.  
775

776 The number and types of the values must match the number and types of the parameterised protocol formal  
777 parameters for the parameterised protocol of the given name. The bound element name may be used anywhere that  
778 protocol of the parameterised kind could be used.  
779

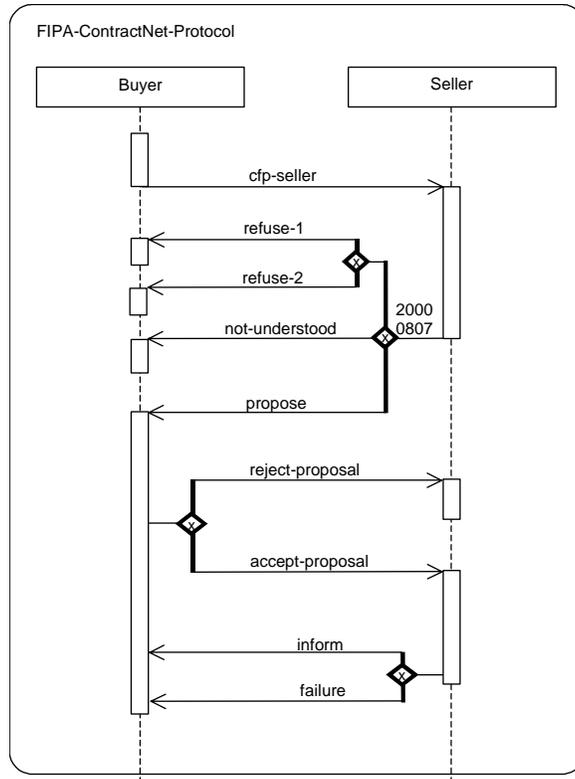
## 780 3.2.11.3 Presentation Options

781 None.  
782  
783

```

783 3.2.11.4 Example
784
785 FIPA-ContractNet-Protocol
786 <
787   Buyer, Seller
788   20000807
789   cfp-seller : cfp,
790   refuse-1   : refuse,
791   refuse-2   : refuse, not-understood, propose, reject-proposal, accept-proposal,
792             : cancel, inform, failure
793 >
794

```



795  
796

```

797 3.2.11.5 Mapping
798 The use of the bound element syntax for the name of a symbol maps into a Binding dependency between the
799 dependent ModelElement corresponding to the bound element symbol and the provider ModelElement whose name
800 matches the name part of the bound element without the arguments. If the name does not match a parameterised
801 protocol or if the number of arguments in the bound element does not match the number of formal parameters in the
802 parameterised protocol, then the model is ill-formed. Each argument in the bound element maps into a ModelElement
803 bearing a templateArgument association to the Namespace of the bound element. The Binding relationship bears the
804 list of actual argument values.
805
806

```

**4 References**

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